



# Report of research project on the key technologies for intelligent risk-informed decision support system for nuclear safety and emergency response management with highlighting upgrade of GO-FLOW for success path planning and exact quantification support

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# Outline

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- Project Background
- Contents of Cooperation
  - Risk layering for safety supervisory and management
  - CAD-based GO-FLOW automatic modeling and analysis platform
  - Task and success path planning for emergency response management
- Future works

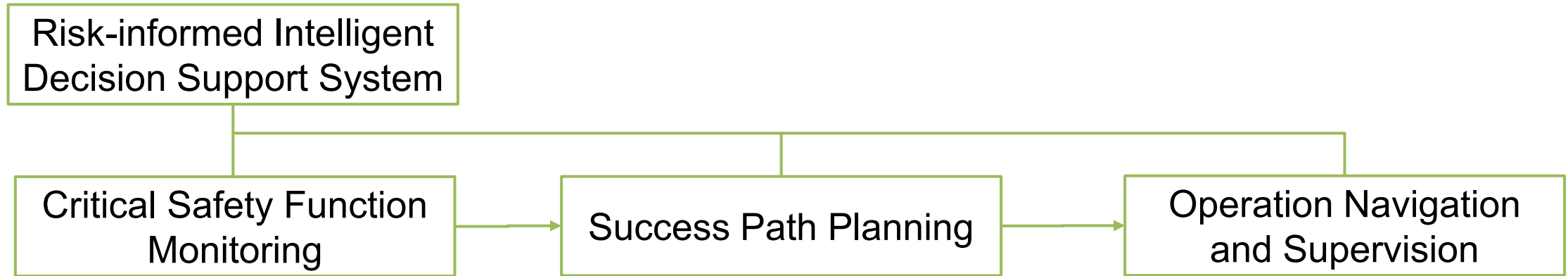
# PROJECT BACKGROUND

**Project Title:** Research on the key technologies for intelligent risk-informed decision support system for nuclear safety and emergency response management

**Project Duration (2 years):** 2022/1/1~2023/12/30

Organizations	Representatives	Contents of Cooperation
Non-profit Organization Symbio Community Forum (Japan)	Prof. Hidekazu Yoshikawa	1. Risk layering for safety supervisory and management.
Utsunomiya University (Japan)	Prof. Takeshi Matsuoka	2. Development of a CAD-based GO-FLOW automatic modeling and analysis platform.
Shenzhen University (China)	Prof. Ming Yang	3. Task and success path planning for emergency response management in the early stage of accident mitigation and recovery.
South China University of Technology (China)	Jun Yang	4. Towards system flow monitoring for Living PSA applications and risk intelligence.

# Part I: Risk layering for safety supervisory and management



## □ Intelligent Risk-informed Decision Support System

The intelligent risk-informed decision support system aims to implement, integrate and maintain success paths to hazard mitigation with planning efforts for risk-layering safety supervisory and management. The intelligent risk-informed decision support system consists of three parts: i) *critical safety function monitoring*; ii) *success path planning*; iii) *operation navigation and supervision*.

*Critical safety function monitoring*: provide an overview of the safety status of the plant.

*Success path planning*: provide countermeasures to unexpected events under extreme conditions.

*Operation navigation and supervision*: provide procedural guidance to operators for efficient task execution and in-process human interaction supervisory.

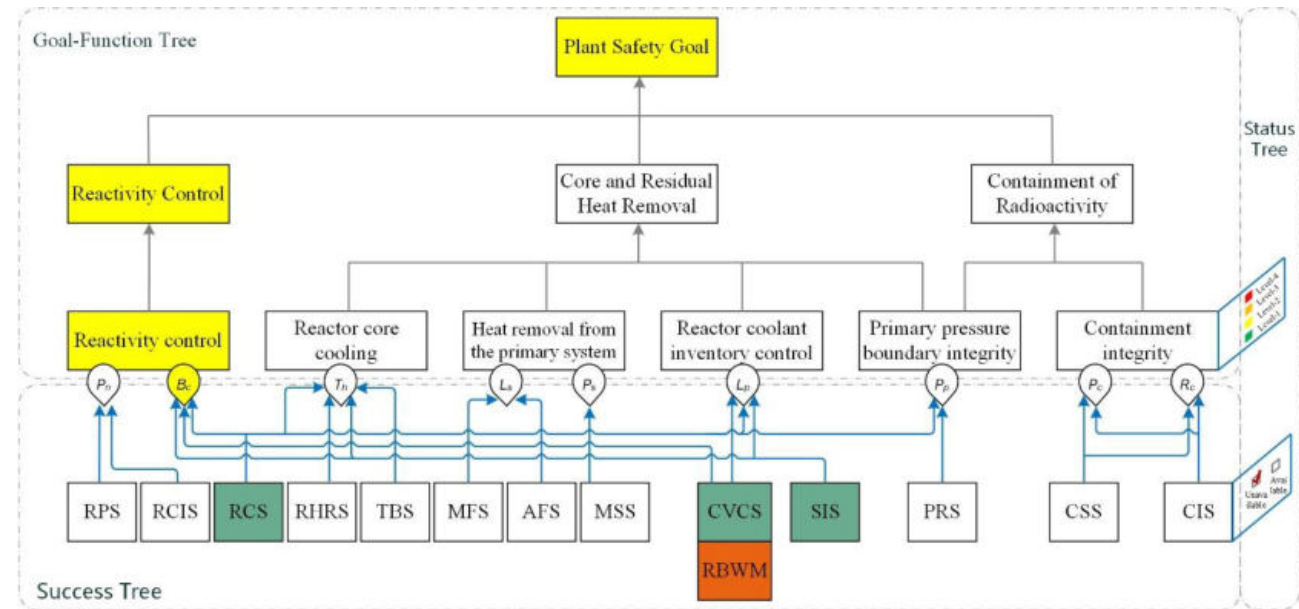
# Part I: Risk layering for safety supervisory and management

## ❑ Critical Safety Function Monitoring

The Critical Safety Function (CSF) monitoring subsystem is designed to be consistent with the Safety Parameter Display System (SPDS) and the Intelligent Alarm System design in nuclear power plants.

The CSF monitoring system is developed using a deep knowledge approach, where complex engineering system domain knowledge is represented by the coupled goal-function tree, success tree, and state tree models.

- Goal-function tree: decomposition of Goals-Functions.
- Success tree: means (process and success paths) to realize functions.
- State tree: status of CSF and systems components.



*Coupling tree model for knowledge representation*

The nuclear safety goal can be achieved and safeguarded by the following 6 critical safety functions:

1. *Reactivity control*
2. *Reactor core cooling*
3. *Heat removal from the primary system*
4. *Reactor coolant inventory control*
5. *Primary pressure boundary integrity*
6. *Containment integrity*

# Part I: Risk layering for safety supervisory and management

## □ Critical Safety Function Monitoring

The status of the critical safety functions is defined in accordance with the severity of the process disturbance. The severity of the process disturbance is again manifested by the alarm system design.



*Is it possible to correlate the status of the critical safety functions with the alarm priorities?*

In general, alarms can be divided into multiple priorities based on its severity and time to respond.

State	Description	Alarm Priority /Severity Level
Negligible	The critical safety function is operational.	1
Moderate	The critical safety function is partially degraded.	2
Critical	The integrity of a critical safety function is severely damaged.	3
Catastrophic	The integrity of a critical safety function is completely lost.	4

Given the multi-state definition of the critical safety functions, the overall plant safety status can be assessed based on the comprehensive integration of the state of each critical safety function. However, *how to evaluate the overall plant safety status especially from a risk perspective?*

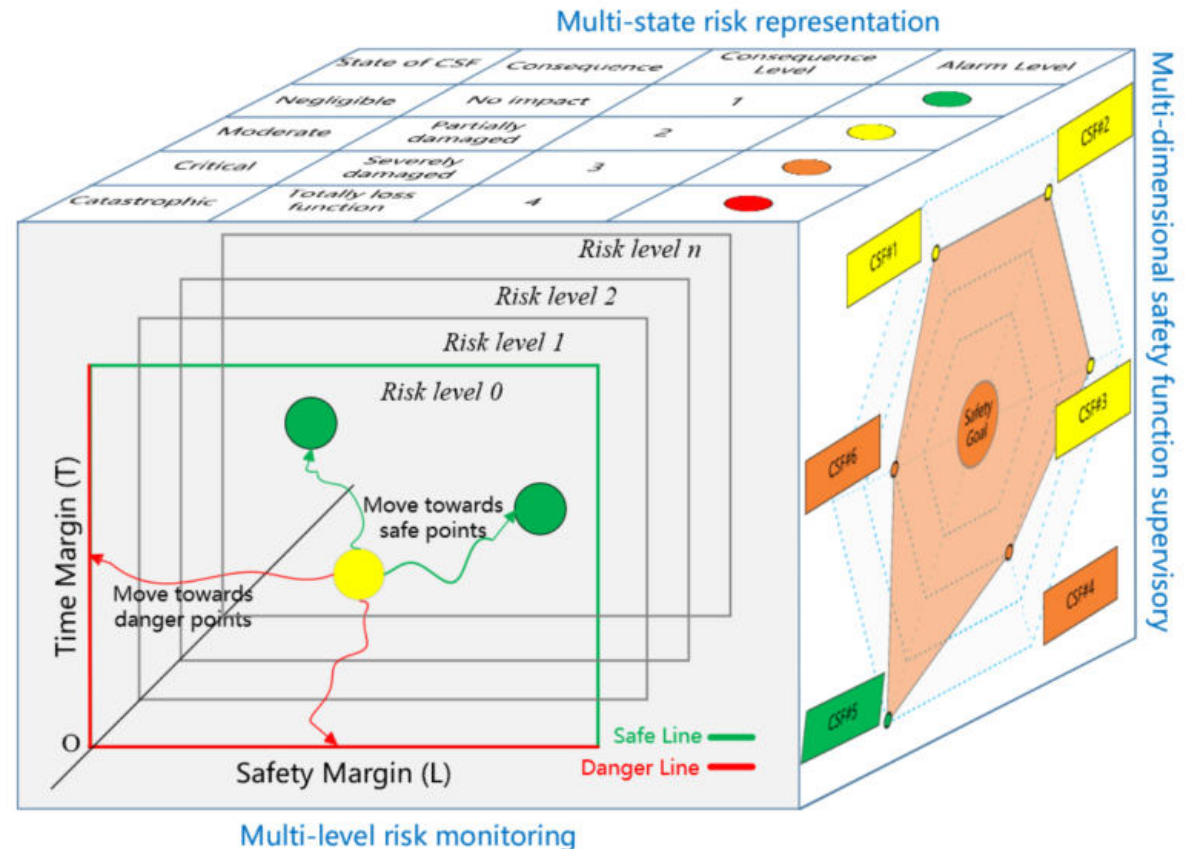
# Part I: Risk layering for safety supervisory and management

## Critical Safety Function Monitoring

Try it!

Extended all the way up: to integrate the CSF monitoring with multi-valued state definition into Defense-in-Depth (DiD) risk monitor.

Risk level	Stop	Cool	Contain	Possibility of severe accident
0	1	1	1	No risk Safely shutdown, cooled and no release
1	1	1	0	No severe accident phenomena but some problem in containment
2	1	0	1	Loss of not so serious cooling function Safely shutdown, but cooling failed but no release
3	1	0	0	Serious severe accident possible Safely shutdown, but both cooling and contain function failed
3	0	1	1	Severe accident may be suppressed by ESF function Shutdown failed but cooling and no release
3	0	1	0	Some contain function failed Shutdown failed, cooled but released
4	0	0	1	Serious though severe accident phenomena occur because containment function succeeded Shutdown failed, cooling failed but no release
5	0	0	0	Worst severe accident because all safety functions failed



Multi-criteria risk classification to build a comprehensive safety risk monitoring and management framework.

## Part II: CAD-based GO-FLOW automatic modeling and analysis platform

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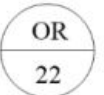



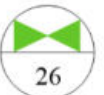
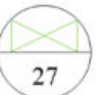
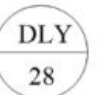

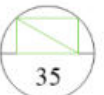
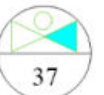

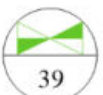




### ■ Innovation of GO-FLOW

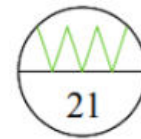
- ☑ **An automated GO-FLOW modeling tool** is developed to support reliability-based system engineering design and dynamic system reliability analysis.
- ☑ **An exact GO-FLOW solver** is developed to expand the capabilities of powerful computation with the exact solution in both minimum path sets (MPSs) and minimum cut sets (MCSs) representation.
- ☒ **A versatile GO-FLOW computational platform:** to be developed to augment with availability analysis of repairable PMS system, common cause failure/importance analysis/sensitivity analysis, visual presentation of results for further expansion and optimization.



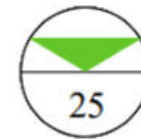
# Part II: CAD-based GO-FLOW automatic modeling and analysis platform

**GO-FLOW Methodology:** all possible system operational state and time-dependent dynamic reliability characteristics can be molded by a series of **GO-FLOW operators and signals** in a logical structure.

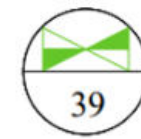
 21 Two-State Component	 OR 22 OR Gate	 NOT 23 NOT Gate	 DIF 24 Difference Operator
 25 Signal Generator	 26 Normally Closed Component	 27 Normally Open Component	 DLY 28 Delay Operator
 AND 30 AND Gate	 35 Operating Failure of Component	 37 Standby Failure of Component	 38 Maintenance of Component
 39 Opening and Closing Action	 40 Phased Mission Operator	 Main Input Signal  Sub-input Signal	 Final Signal



Good/failure state of a component: tube



Source signal: water tank

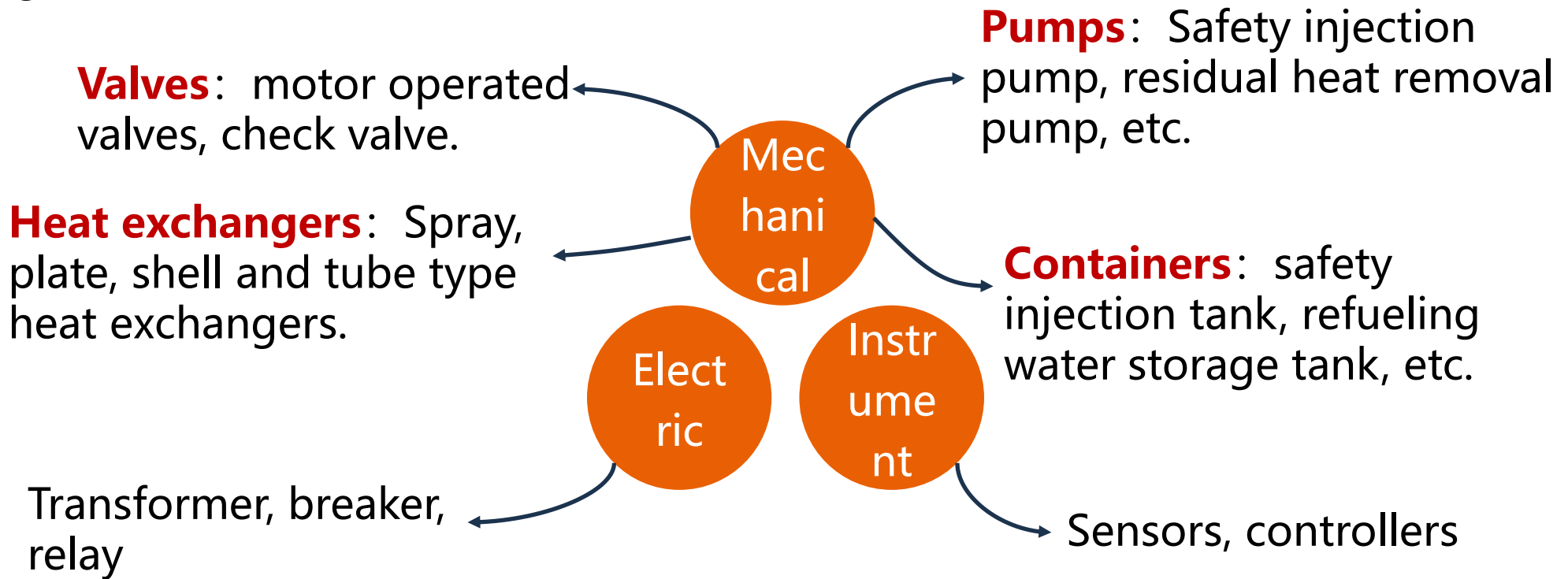


Action component: pump

## Part II: CAD-based GO-FLOW automatic modeling and analysis platform

### Classification and Categorization of Components + Failure Modes and Effects Analysis

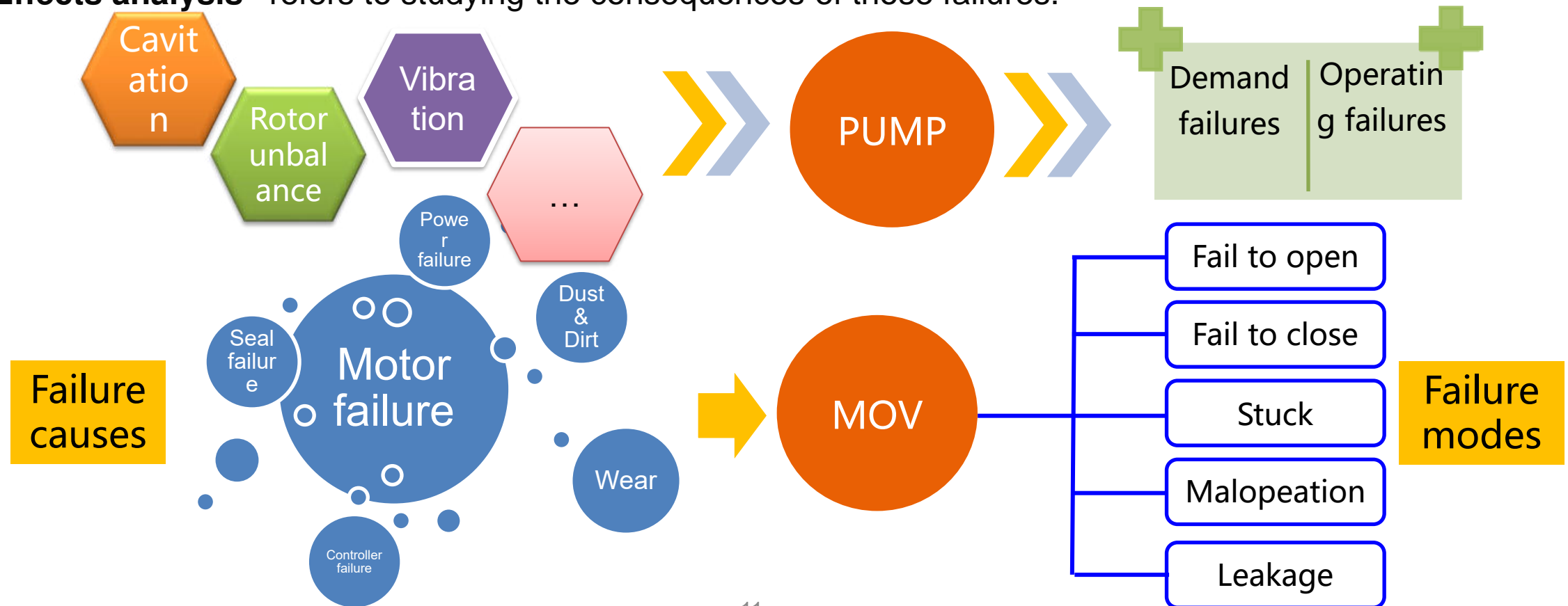
Establish the mapping relationships between the various failure modes of different types of components and GO-FLOW modeling elements to facilitate the componentized modeling.



# Part II: CAD-based GO-FLOW automatic modeling and analysis platform

**Failure Modes and Effects Analysis:** a step-by-step approach for identifying all possible failures in a design, a manufacturing or assembly process, or a product or service.

- **"Failure modes"** means the ways, or modes, in which something might fail. Failures are any errors or defects, especially ones that affect the customer, and can be potential or actual.
- **"Effects analysis"** refers to studying the consequences of those failures.

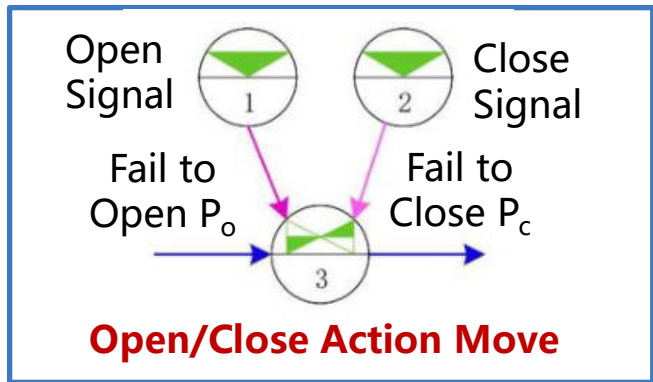
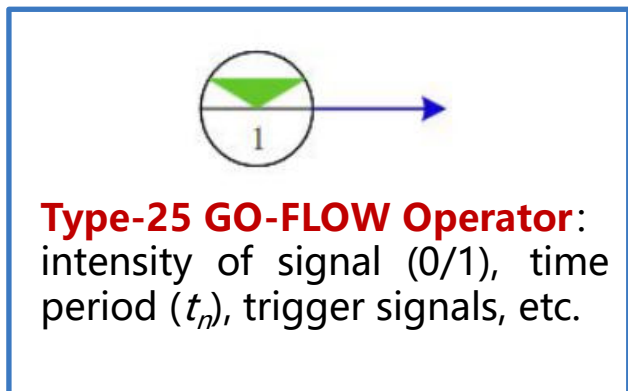


# Part II: CAD-based GO-FLOW automatic modeling and analysis platform

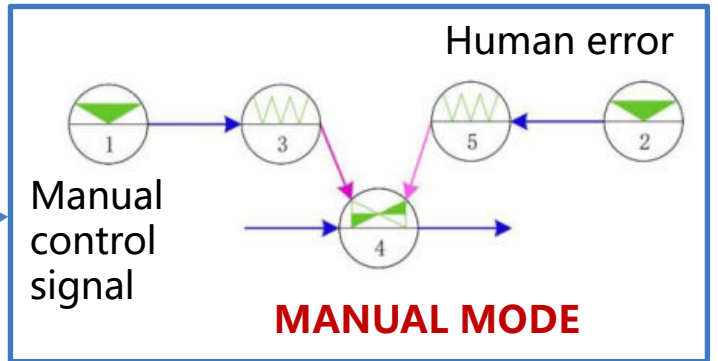
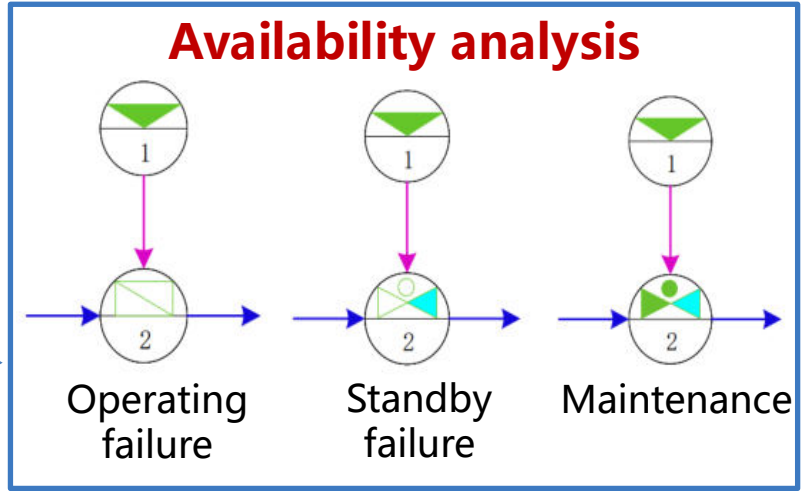
Componentized GO-FLOW modeling structure: Basic reliability characteristics + aging effects + maintenance signals + ...

+ phased missions + time delay of

- Two-state components
- Normally open components
- Normally close components
- Switching components

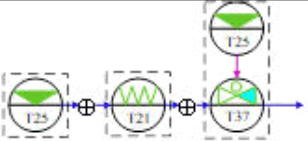


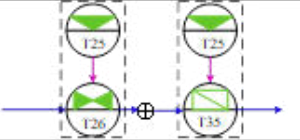
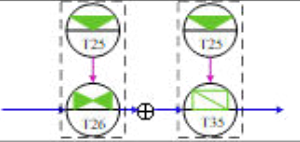
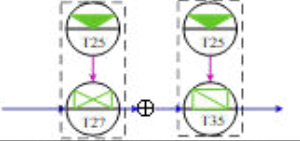
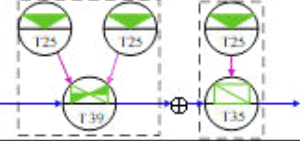



- Source signal/control signal
- Operating failures
- Control Modes
- Demand failures



# Part II: CAD-based GO-FLOW automatic modeling and analysis platform

A collection of componentized GO-FLOW model representation for the most commonly used equipment

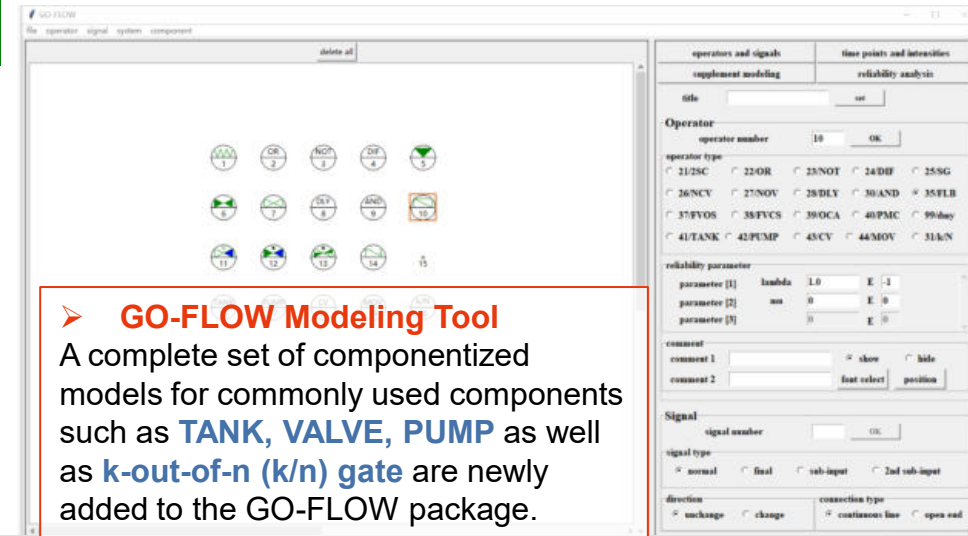
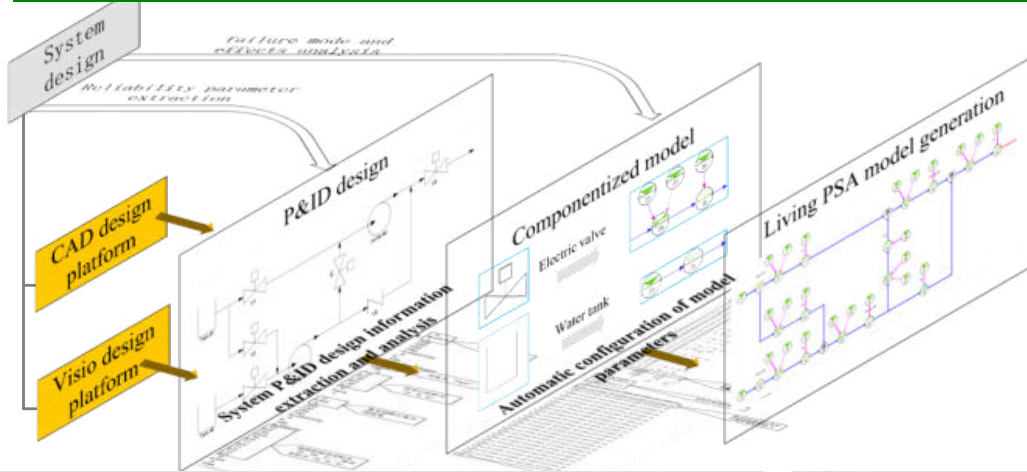
Categories	Sub-categories	Types of components	Function/failure modes	Componentized GO-FLOW model	Reliability parameter	Output intensity
Non-action component	Source component	Container	Signal source ⊕ Good/Damage state ⊕ Leakage failure on performance over time		Output signal: $S(t)$ Probability of failure on demand: $P_g$ Failure rate: $\lambda$ Repair rate: $\mu$	$R(t) = S(t) \cdot R_1(t) \cdot R_2(t)$ $R_1(t) = P_g$ $R_2(t) = \frac{\mu}{\lambda + \mu} + \frac{\lambda}{\lambda + \mu} e^{-\lambda + \mu t \sum_{i=1}^n P_i(t)}$
	Non-source component	Heat Exchanger	Good/Damage state ⊕ Failure on performance over time (Blockage, Leakage)		Probability of failure on demand: $P_g$ Failure rate: $\lambda$ Repair rate: $\mu$	$R(t) = S(t) \cdot R_1(t) \cdot R_2(t)$ $R_1(t) = P_g$ $R_2(t) = \frac{\mu}{\lambda + \mu} + \frac{\lambda}{\lambda + \mu} e^{-\lambda + \mu t \sum_{i=1}^n P_i(t)}$
		Check Valve	Good/Damage state ⊕ Failure on performance over time (Leakage)			
Action component	Normally closed component	Relief Valve / Safety Valve	Fail open ⊕ Failure in operations (Leakage)		Probability of pre-action: $P_p$ Probability of failure on demand: $P_g$ Failure rate: $\lambda$ Repair rate: $\mu$	$R(t) = S(t) \cdot R_1(t) \cdot R_2(t)$ $R_1(t) = S(t) \cdot O(t)$ $O(t_i) = P_p$ $O(t_n) = O(t_{n-1}) + [1 - O(t_{n-1})] \cdot P(t_n) \cdot P_c$ $R_2(t) = S(t) \times \left[ \frac{\mu}{\lambda + \mu} + \frac{\lambda}{\lambda + \mu} e^{-\lambda + \mu t \sum_{i=1}^n \left[ P_i(t_i) \cdot \min\left(1, \frac{S(t_i)}{S(t)}\right) \right]} \right]$
		Relay	Fail open ⊕ Failure in operations			
	Normally open component	Fuse and circuit breaker	Fail open ⊕ Failure in operations		Probability of pre-action: $P_p$ Probability of failure on demand: $P_g$ Failure rate: $\lambda$ Repair rate: $\mu$	$R(t) = S(t) \cdot R_1(t) \cdot R_2(t)$ $R_1(t) = S(t) \cdot O(t)$ $O(t_i) = P_p$ $O(t_n) = O(t_{n-1}) \cdot [1 - P(t_n) \cdot P_c]$
	Switching component	Motor Operated Valve / Manually Operated Valve / Regulating Control Valve	Fail on demand (Fail open / Fail closed) ⊕ Failure in operations		Probability of pre-action: $P_p$ Shutdown failure: $P_c$ Startup failure: $P_o$ Failure rate: $\lambda$ Repair rate: $\mu$	$R(t) = S(t) \cdot R_1(t) \cdot R_2(t)$ $R_1(t) = S(t) \cdot O(t)$ $O(t_i) = P_p$ $O(t_n) = O(t_{n-1}) + [1 - O(t_{n-1})] \cdot P_1(t_n) \cdot P_o$ $O(t_n) = O(t_{n-1}) \cdot [1 - P_2(t_n) \cdot P_c]$ $R_2(t) = S(t) \times \left[ \frac{\mu}{\lambda + \mu} + \frac{\lambda}{\lambda + \mu} e^{-\lambda + \mu t \sum_{i=1}^n \left[ P_i(t_i) \cdot \min\left(1, \frac{S(t_i)}{S(t)}\right) \right]} \right]$
Pump		Fail on demand (Fail open / Fail closed) ⊕ Failure in operations				

# Part II: CAD-based GO-FLOW automatic modeling and analysis platform

## Objectives

generate system reliability model directly from system **Piping and Instrumentation Diagram (P&ID)** drawings so as to improve the consistency, accuracy, and convenience of the reliability and risk modeling process[1-2].

## Framework for automated GO-FLOW model generation



➤ **GO-FLOW Modeling Tool**  
A complete set of componentized models for commonly used components such as **TANK, VALVE, PUMP** as well as **k-out-of-n (k/n) gate** are newly added to the GO-FLOW package.

**basic information**

name: electric pump keyword: pump  
 function: liquid compression  
 type: 42 abbreviation: PUMP  
 delay: 0

**operator information**

name	keyword	function	type	abbreviation	operators	delay	input_signal
1	water tank	provide water source	41	TANK	2	0	0
2	electric pump	liquid compression	42	PUMP	5	0	1
3	check valve	prevent liquid backflow	43	CV	2	0	1

**operators and signals**

operator number: [ ] OK

operator type:  21/2SC  22/OR  23/NOT  24/DIF  25/SG  26/NCV  27/NOV  28/DLY  30/AND  35/FLB  37/FVOS  38/FVCS  39/OCA  40/PMC  99/dmy  41/TANK  42/PUMP  43/CV  44/MOV  31k/N

reliability parameter: parameter [1] 0 E 0, parameter [2] 0 E 0, parameter [3] 0 E 0

**Signal**

signal number: [ ] OK

signal type:  normal  final  sub-input  2nd sub-input

direction:  unchange  change connection type:  continuous line  open end

➤ **Modular Modeling for Living PSA Applications**  
Each component is taken as stand-alone unit of modular representation. By adding or deleting the modular representation for each component or component functional mode, the reliability characteristics of component under different mission profiles can be described.

**GO-FLOW Solver for Reliability Analysis**  
The system reliability is calculated with the complete collection of all minimal path sets based on the law of total probability and its decomposition, where the minimal path sets for achievement of System Goals can be obtained by graph-based search analysis upon completion of the renaming of ideal signals of GO-FLOW operators.

**Ideal signals**

operator number	original signal 1:	2:	3:
15	NaN	1.0	1.0
16	NaN	0.999	0.999
17	NaN	0.0	0.0
18	NaN	0.0	0.9
19	NaN	0.0	1.0
20	NaN	0.0	0.9
21	NaN	1.0	1.0
22	NaN	0.999	0.999
23	NaN	0.0	1.0

**Final reliability**

final signal	1:	2:	3:
#13	0.0	0.899019981	0.8989380598495631

**Success path set**

select operator: 13 OK

**MPS analysis**

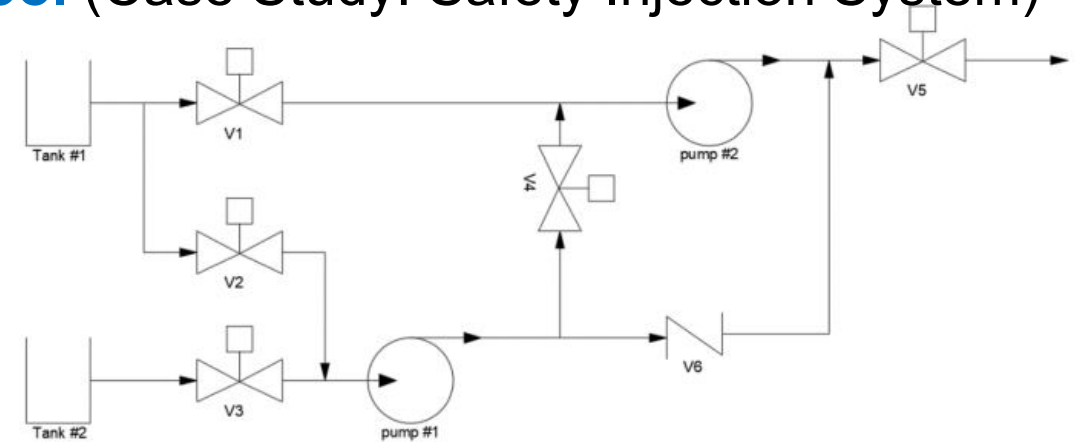
path	success path	1:	2:	3:
#2	41, 42, 44, 45, 48, 49, 51, 54	0.0	0.728271	0.724784
#3	43, 44, 46, 48, 49, 52, 54	0.0	0.728271	0.724784
#1	41, 47, 49, 50, 54	0.0	0.80919	0.80725
#4	41, 42, 44, 45, 53, 54	0.0	0.80919	0.807057
#5	43, 44, 46, 53, 54	0.0	0.80919	0.807057

# Part II: CAD-based GO-FLOW automatic modeling and analysis platform

## II-1: An automated GO-FLOW modeling tool (Case Study: Safety Injection System)

Categories <sup>↔</sup>	Sub-categories <sup>↔</sup>	Types of components <sup>↔</sup>	Failure modes <sup>↔</sup>	Reliability parameters <sup>↔</sup>	Componentized GO-FLOW model <sup>↔</sup>
Non-action Component <sup>↔</sup>	Source component <sup>↔</sup>	Container <sup>↔</sup>	Leakage <sup>↔</sup>	Probability of Failure on demand $P_R^{\leftarrow}$	
	Non-source component <sup>↔</sup>	Heat exchanger <sup>↔</sup>	Blockage, <sup>↔</sup> leakage <sup>↔</sup>	Failure rate $\lambda^{\leftarrow}$ Repair rate $\mu^{\leftarrow}$	
		Check valve <sup>↔</sup>	Blockage, <sup>↔</sup> leakage <sup>↔</sup>	Failure rate $\lambda^{\leftarrow}$ Repair rate $\mu^{\leftarrow}$	
Action Component <sup>↔</sup>	Normally closed component <sup>↔</sup>	Relief Valve/ Safety Valve <sup>↔</sup>	Leakage, <sup>↔</sup> fail open <sup>↔</sup>	Probability of action of time $P_p^{\leftarrow}$ Probability of Failure on demand $P_R^{\leftarrow}$	
		Relay <sup>↔</sup>	Overload, <sup>↔</sup> wear out <sup>↔</sup>	Probability of action of time $P_p^{\leftarrow}$ Probability of Failure on demand $P_R^{\leftarrow}$	
	Normally open component <sup>↔</sup>	Fuse and circuit breaker <sup>↔</sup>	Fail closed <sup>↔</sup>	Probability of action made ahead of time $P_p^{\leftarrow}$ Probability of Failure on demand $P_R^{\leftarrow}$	
	Switching component <sup>↔</sup>	Motor Operated Valve / Manually Operated Valve/ Regulating Control Valve/ <sup>↔</sup>	Failure on demand <sup>↔</sup> (Fail Open / Fail Closed) <sup>↔</sup>	Probability of action ahead of time $P_p^{\leftarrow}$ Shutdown failure $P_s^{\leftarrow}$ Startup failure $P_o^{\leftarrow}$ Failure rate $\lambda^{\leftarrow}$ Repair rate $\mu^{\leftarrow}$	
		Pump <sup>↔</sup>	Failure of demand, <sup>↔</sup> operating failure <sup>↔</sup>	Probability of action ahead of time $P_p^{\leftarrow}$ Shutdown failure $P_s^{\leftarrow}$ Startup failure $P_o^{\leftarrow}$ Failure rate $\lambda^{\leftarrow}$ Repair rate $\mu^{\leftarrow}$	

Componentized GO-FLOW model library



Piping & Instrumentation Diagram drawing

```

0 BLOCK 5
298 330 296 100
AcDbEntity 5
71. 0 100
AcDbBlockBegin 2
Tank 70 2
0.0 10 0.0 20 0.0 30 0.0 0.0 0.0 0.0 0.0
Tank 1
0 LINE 5
299 330 296 100
AcDbEntity 5
0 100
AcDbLine 10
0.0 20 20.0 30 0.0 0.0 11 0.0 21 0.0 0.0 31
    
```

Annotations for the code block:

- ① information of a block
- ② the name of the block is "Tank"
- ③ the center coordinates of the block is (0,0,0)
- ④ the block consists of "LINE"
- ⑤ the starting coordinates of this line is (0,20,0)
- ⑥ the ending coordinates of this line is (0,0,0)

Data Parsing

Operator number	2.	63.	64.	65.	Reliability of success path sets in time series
44	09.	70.	0.00099999	0.00999999	<i>Path-1</i> {Tank #1, V1, Pump #2, V5}
	55.	57.	58.	60.	<i>Path-2</i> {Tank #2, V3, Pump #1, V4, Pump #2, V5}
	61.	64.	66.	69.	<i>Path-3</i> {Tank #1, V2, Pump #1, V4, Pump #2, V5}
	69.	72.	0.0000100	0.00999999	<i>Path-4</i> {Tank #2, V3, Pump #1, V6, V5}
	35.	57.	58.	68.	<i>Path-5</i> {Tank #1, V2, Pump #1, V6, V5}
	69.	73.	0.00099999	0.99990000	
	2.	56.	57.	59.	
	68.	69.	74.	0.00099999	

The success path sets are listed as follows: {*Path-1*: Tank #1, V1, Pump #2, V5}, {*Path-2*: Tank #2, V3, Pump #1, V4, Pump #2, V5}, {*Path-3*: Tank #1, V2, Pump #1, V4, Pump #2, V5}, {*Path-4*: Tank #2, V3, Pump #1, V6, V5}, {*Path-5*: Tank #1, V2, Pump #1, V6, V5}.

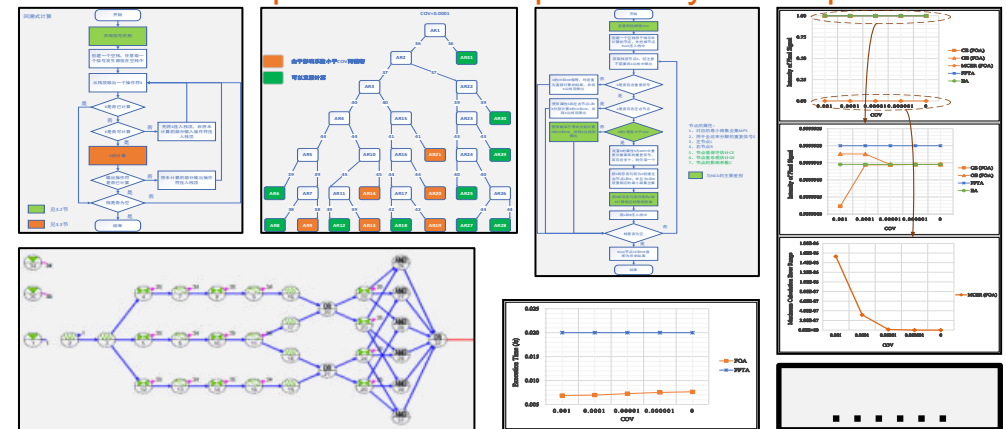
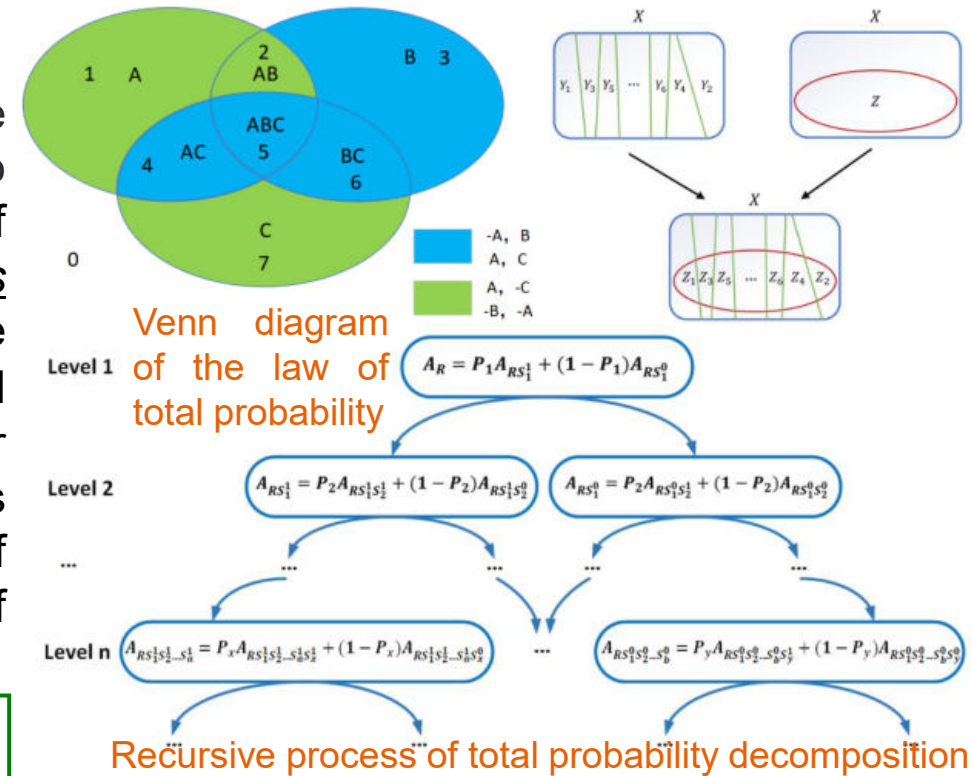
# Part II: CAD-based GO-FLOW automatic modeling and analysis platform

## □ II-2: An exact GO-FLOW Solver<sup>[3]</sup>

By applying the law of total probability and its recursive decompositions, an exact GO-FLOW solver is developed to effectively capture the dynamic operational characteristics of process engineering systems, identify failure dependencies (shared signals) in system GO-FLOW model, obtain both the **minimal path sets/minimal cut sets** from both success and failure perspectives, and offer scalable and flexible solution for computing power and application extensions. The algorithm tool is able to offer a much more flexible way to achieve the trade-off between computational complexity and accuracy on the use of cut-off criterion.

### ◆ Features, Advantages & Benefits (FAB)

- ✓ Capturing for system dynamic timing characteristics
- ✓ Degradation modeling with application to aging and maintenance effectiveness evaluations
- ✓ Phased mission reliability analysis with loop structures
- ✓ The guarantee of exact solutions
- ✓ Flexibility in the balance of computational efficiency and accuracy





# Part II: CAD-based GO-FLOW automatic modeling and analysis platform

## ☑ II-2: An exact GO-FLOW solver<sup>[4]</sup>

The algorithm is implemented by the following steps:

*Step-1: GO-FLOW modeling.*

*Step-2: Final signal marking.*

*Step-3: Shared signal identification.*

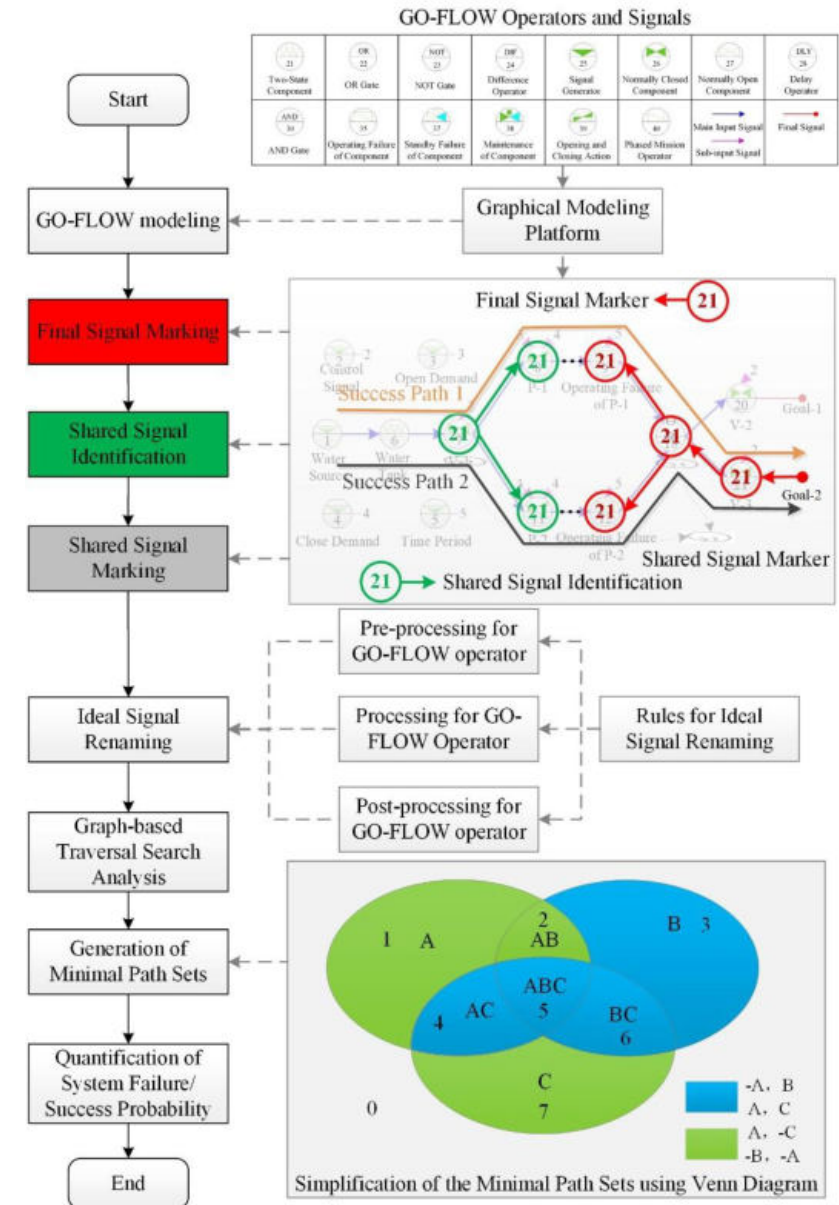
*Step-4: Shared signal marking.*

*Step-5: Ideal signal renaming.*

*Step-6: Graph-based traversal search analysis.*

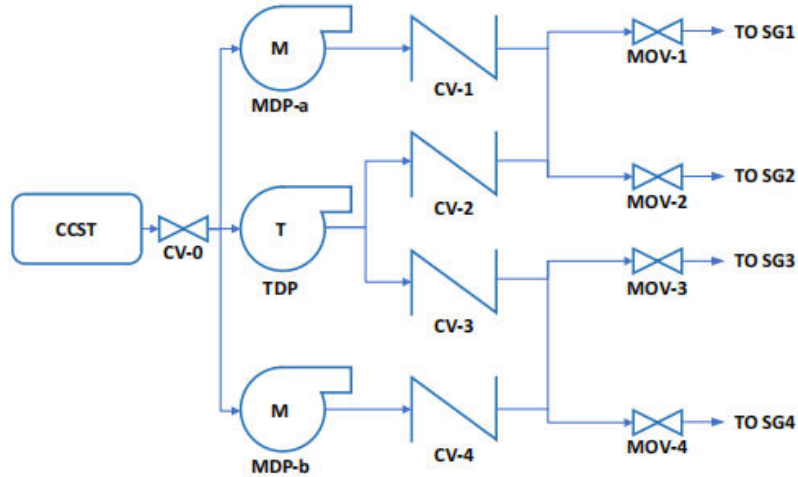
*Step-7: Generation of the minimal path sets/minimal cut sets.*

*Step-8: Quantification of system failure/success probability.*

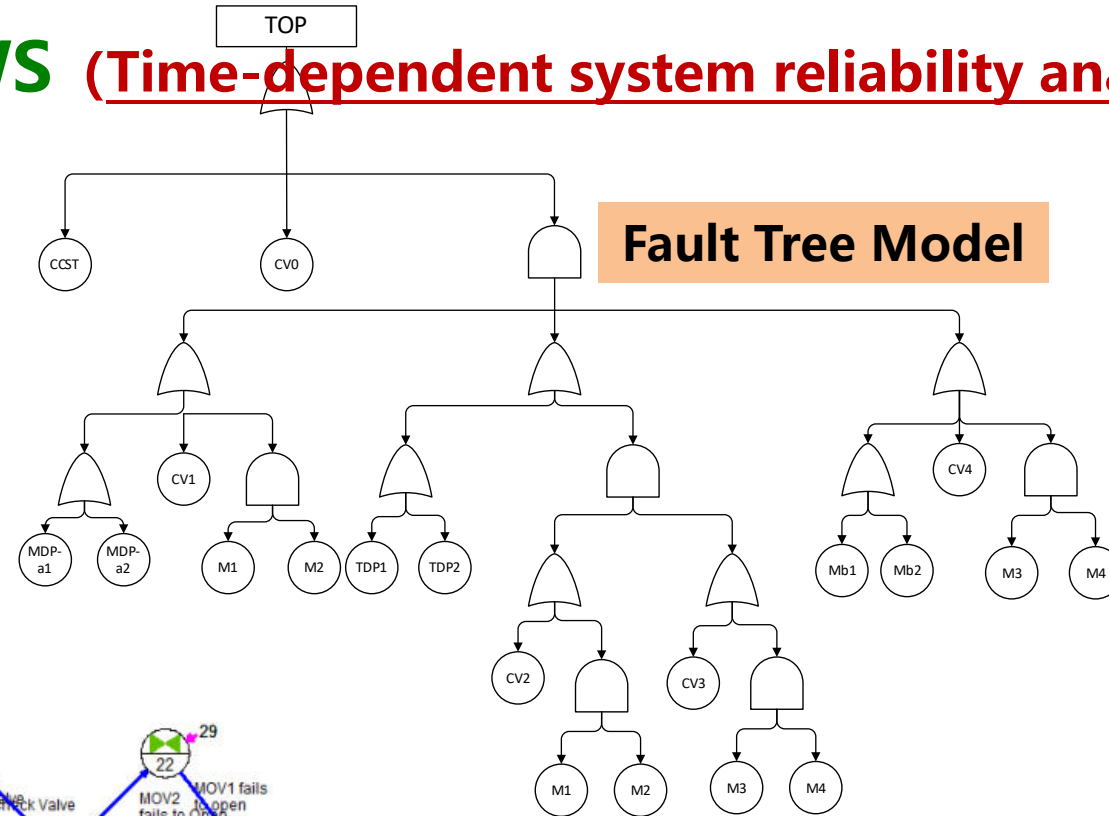
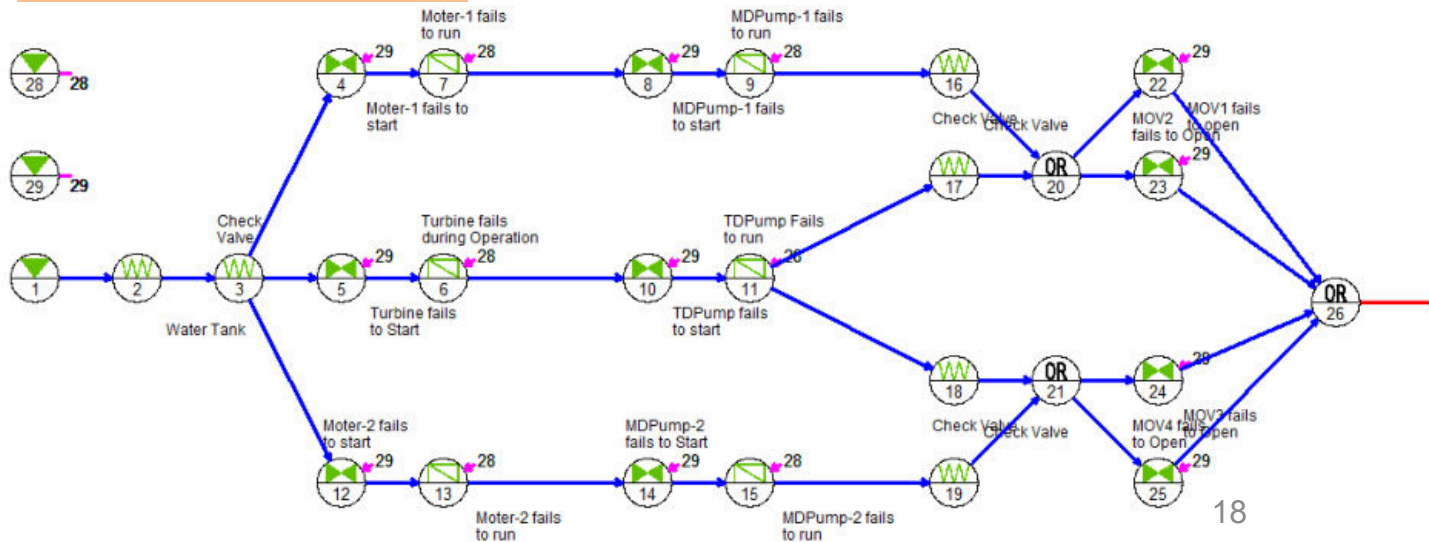


# Test of GO-FLOW algorithm for time-dependent system reliability analysis

## Case study-I: PWR-AFWS (Time-dependent system reliability analysis)



### GO-FLOW Model



### Fault Tree Model

Verification Results:

Breakthrough in MCSs solving;

Exact Solution;

Alternative to BDD algorithm.

Method	System Startup Failure	System Operation for 24 hours	Elapsed Time
GO-FLOW	6.088431418e-06	6.23741702898e-06	52ms
BDD	6.088431418e-06	6.23741702898e-06	56ms
MOCUS	6.088433555e-06	6.23741962947e-06	222ms
RS	6.088e-06	6.237e-06	
RWB	6.087e-0	6.328e-06	

# Derivation of Minimal Cut Sets by GO-FLOW

## □ MCSs Derivation

The GO-FLOW diagram derivation of MCSs consists of two steps:

- Step #1: Conversion of cut sets from minimal path sets

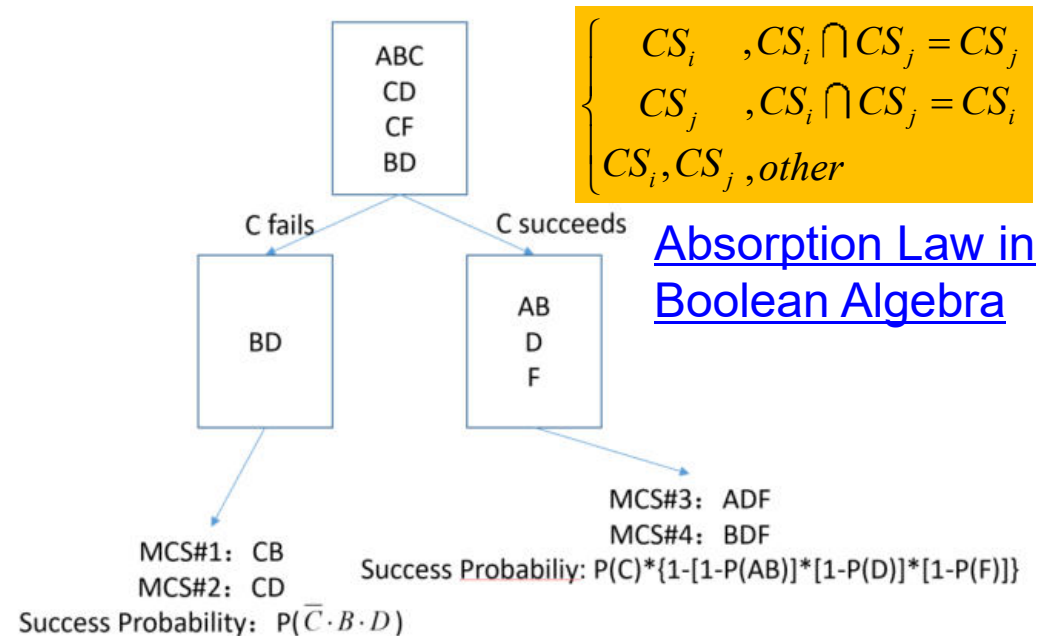
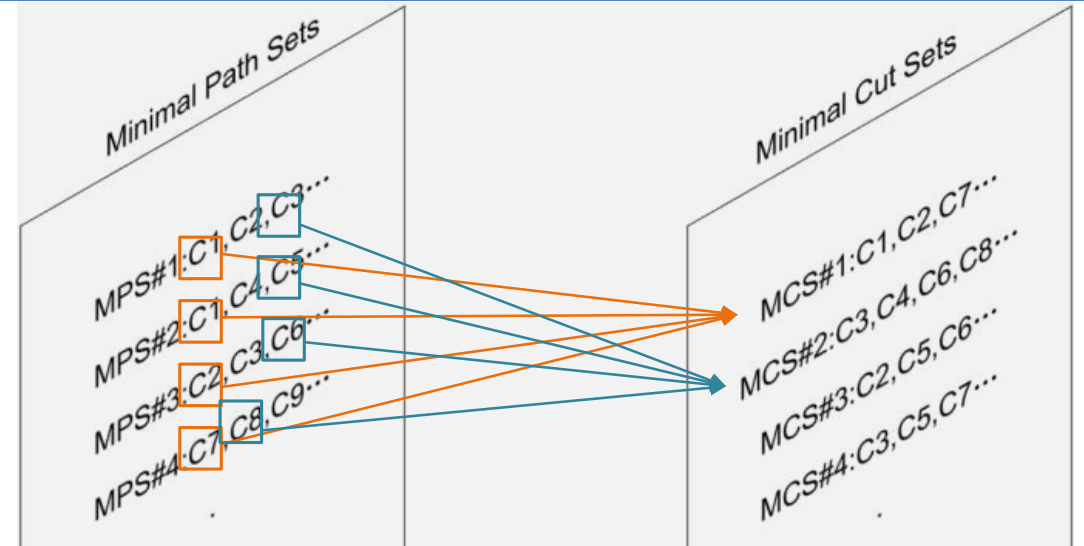
**Cut Set:** a cut set is a collection of component failure modes that could lead to a system failure.

A component is selected to fail from each path, and the set of these failed components is called the cut set. When the same component is identified in multiple success paths, it is reserved for only once.

- Step #2: Optimal solution generation of Minimal Cut Sets

**Minimal Cut Set:** a cut set that cannot be reduced without losing its status as a cut set for triggering the top event.

A process of reduction using the laws of Boolean algebra is then applied to identify only the truly unique minimal cut sets.



# Derivation of Minimal Cut Sets by GO-FLOW

## □ MCSs Derivation (Non-intersecting MCSs in GO-FLOW)

MCSs	BDD	RWB	MOCUS	GO-FLOW
CV0	3.9999920E-06	3.9999920E-06	4.0000000E-06	4.0000000E-06
CCST	2.0000000E-06	1.9999980E-06	2.0000000E-06	2.0000000E-06
Ma Mb TDP	8.5758235E-08	8.4282959E-08	8.5758750E-08	8.5758750E-08
Mb TDP M1 M2	9.5942248E-10	9.4120491E-10	9.6101775E-10	9.6101775E-10
Ma TDP M3 M4	9.5942248E-10	9.4120491E-10	9.6101775E-10	9.6101775E-10
M1 M2 M3 M4	3.4184245E-10	3.3895358E-10	3.4188010E-10	3.4188010E-10
CV1 Mb TDP	2.0755572E-10	2.0449053E-10	2.0790000E-10	2.0790000E-10
Ma CV4 TDP	2.0755572E-10	2.0449053E-10	2.0790000E-10	2.0790000E-10
CV4 TDP M1 M2	2.3220350E-12	2.2835872E-12	2.3297400E-12	2.3297400E-12
CV1 TDP M3 M4	2.3220350E-12	2.2835872E-12	2.3297400E-12	2.3297400E-12
CV1 CV4 TDP	5.0233516E-13	4.9614271E-13	5.0400000E-13	5.0400000E-13
Mb CV3 M1 M2	1.1818922E-13	1.2140134E-13	1.2203400E-12	1.2203400E-12
Ma CV2 M3 M4	1.1818922E-13	1.2141013E-13	1.2203400E-12	1.2203400E-12
CV4 CV3 M1 M2	2.8604656E-16	2.9456990E-16	2.9584000E-15	2.9584000E-15
CV1 CV2 M3 M4	2.8604656E-16	2.9456990E-16	2.9584000E-15	2.9584000E-15
Ma Mb CV2 CV3	4.2187607E-17	4.3488021E-17	4.3560000E-15	4.3560000E-15
CV1 Mb CV2 CV3	1.0210424E-19	1.0551230E-19	1.0560000E-17	1.0560000E-17
Ma CV4 CV2 CV3	1.0210424E-19	1.0551230E-19	1.0560000E-17	1.0560000E-17
CV1 CV4 CV2 CV3	2.4711700E-22	2.5599795E-22	2.5600000E-20	2.5600000E-20

# GO-FLOW Solver for Efficient Mission Reliability Analysis

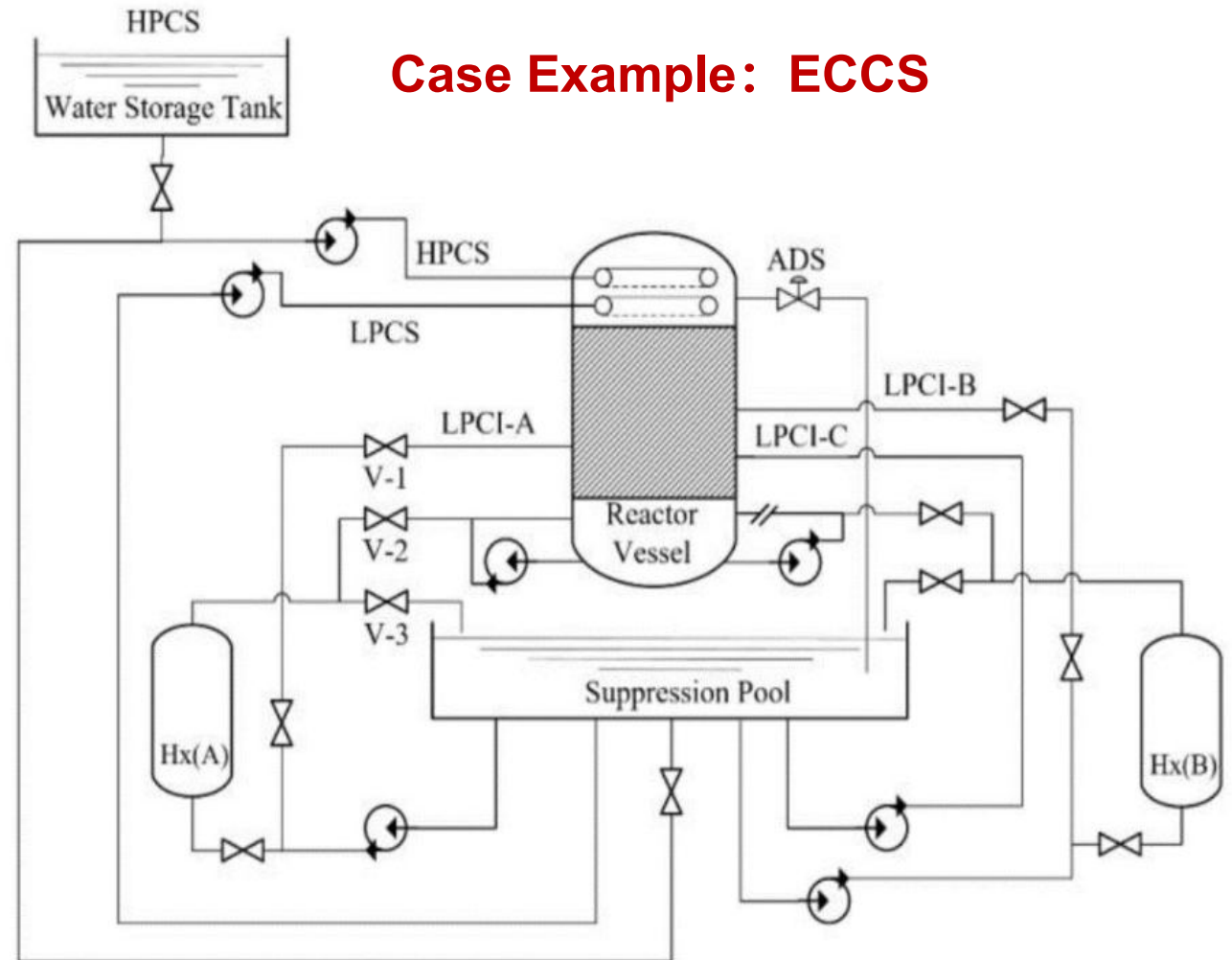
## □ Case Study II: Emergency Core Cooling System

**Emergency Core Cooling System (ECCS)**, as one of the most important safety engineering features in nuclear power plants, is designed to inject the high-concentration borated water into the reactor core following a Loss of Coolant Accident (LOCA) or a Steam Generator Tube Rupture (SGTR).

ECCS consists of a water storage tank (HPCS), two heat exchangers (HX-A, HX-B), automatic depressurization system (ADS), High-Pressure Recirculation Cooling System (HPCS), Low-Pressure Recirculation Cooling System (LPCS), Low-Pressure Core Injection pumps (LPCI-A,B,C), motor operated valves and associated pipelines.

The emergency core cooling system of BWR is a typical phased-mission system.

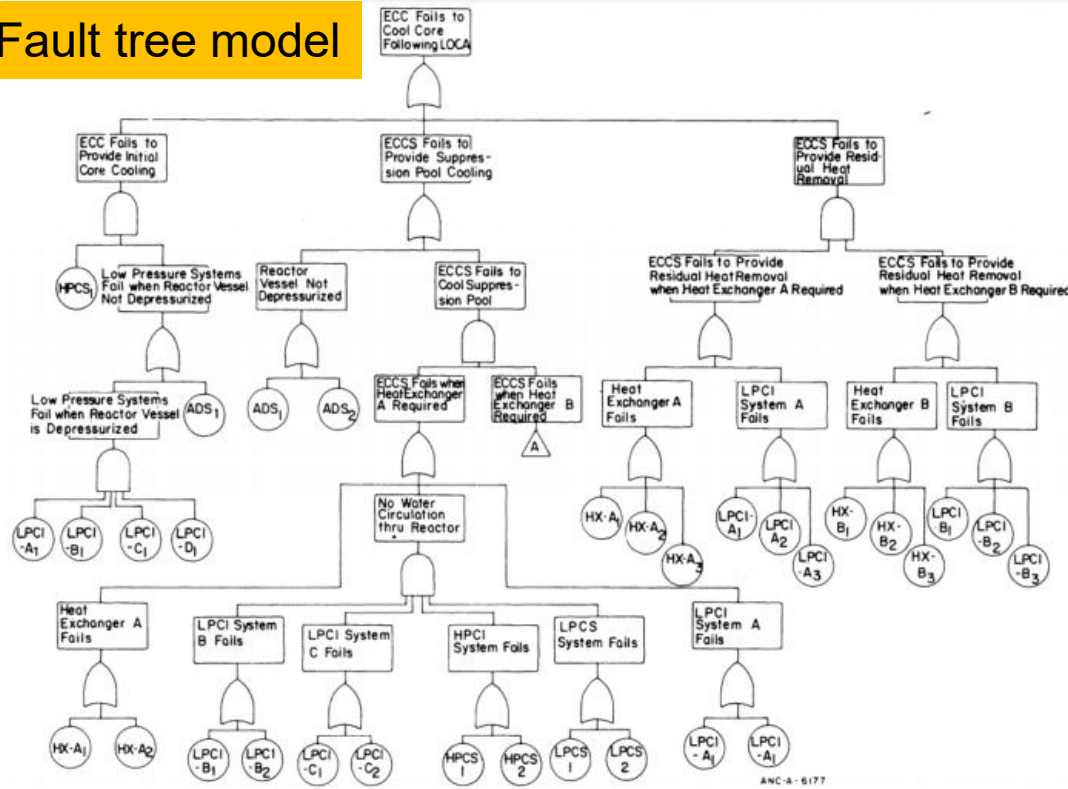
- **Phase I: initial core cooling (0.5h)**
- **Phase II: suppression pool cooling (36 h)**
- **Phase III: residual heat removal (84 h)**



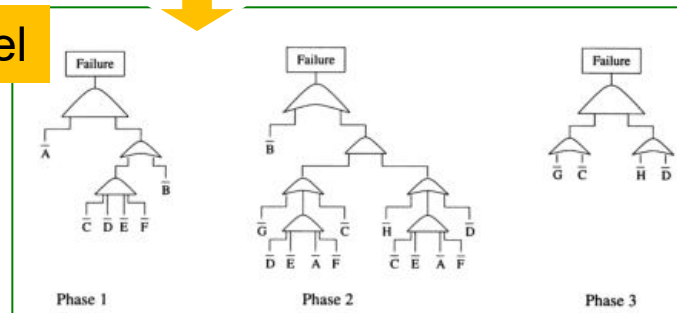
# GO-FLOW Solver for Efficient Mission Reliability Analysis

## Case Study II: Emergency Core Cooling System

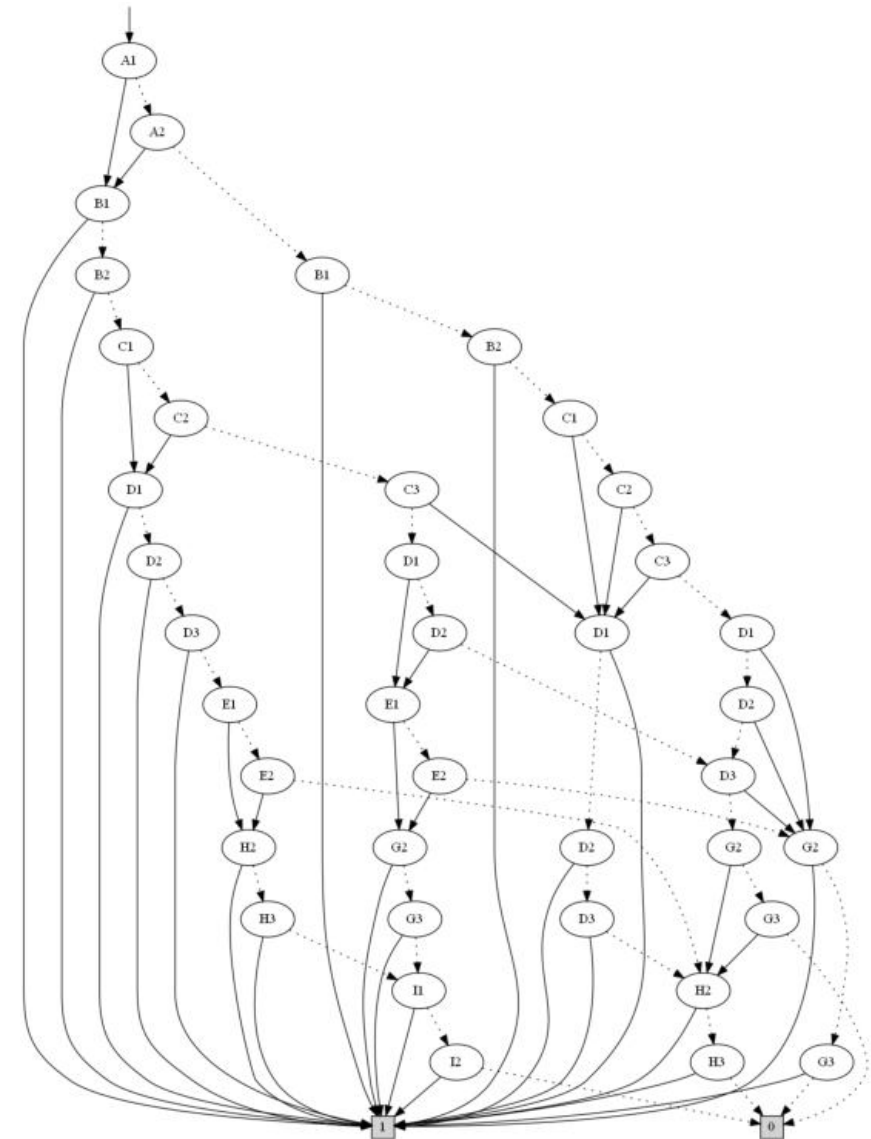
Fault tree model



Simplified FT model



Binary Decision Diagram



# GO-FLOW Solver for Efficient Mission Reliability Analysis

## Case Study II: Emergency Core Cooling System

- Ideal signal calculation

$$I(t) = R(t) / S(t)$$

$$\begin{cases} R(t) = 1.0 & (t < t_i) \\ R(t) = S(t) & (t_i \leq t \leq t_j) \\ R(t) = S(t_j) & (t_j < t) \end{cases}$$

- Independent signal merging and decomposition

$$I'(t) = \max[I_1(t), I_2(t)]$$

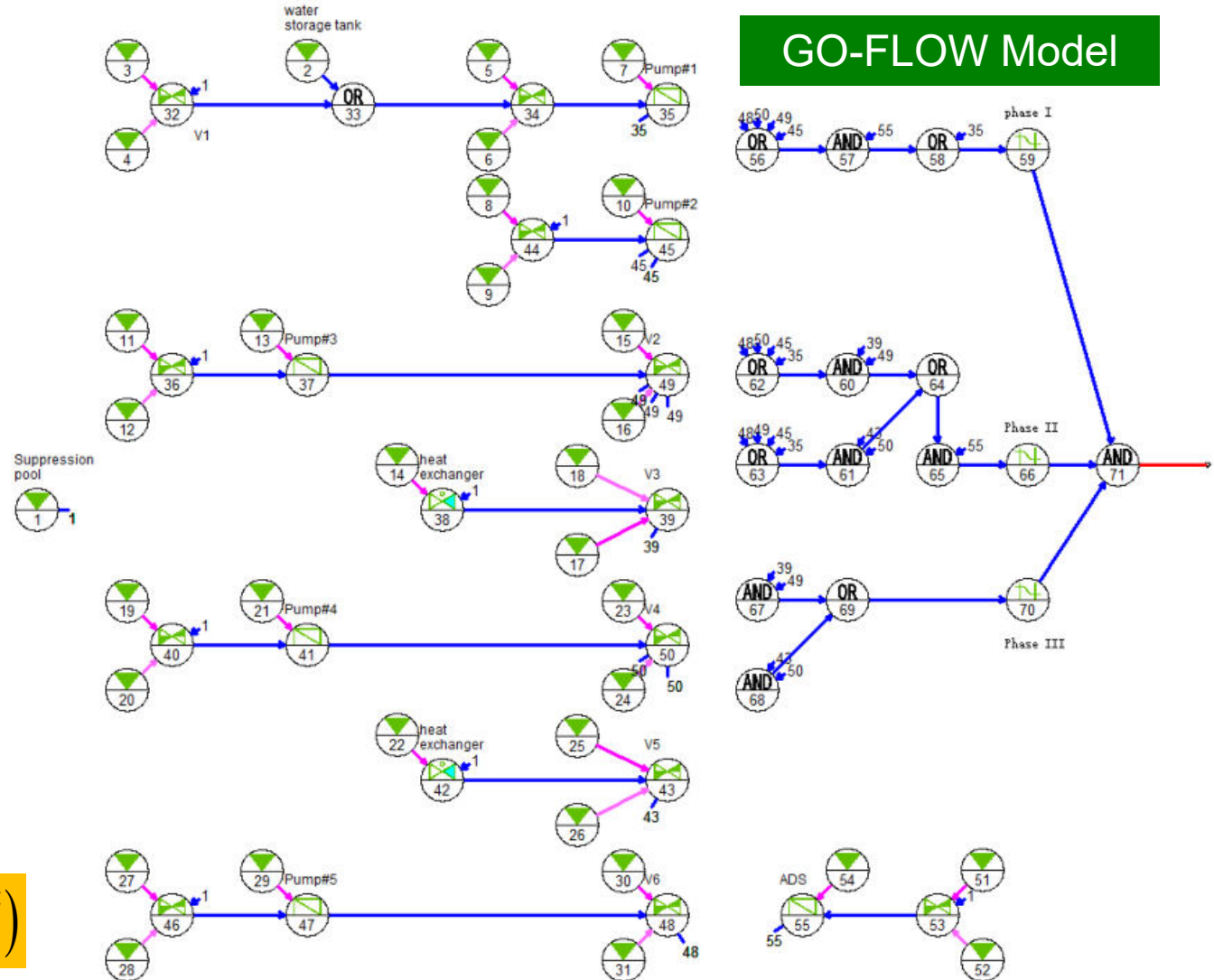
$$I_1(t) = I'(t)$$

$$I_2(t) = I'(t) \cdot I''(t)$$

$$I_3(t) = I'(t) \cdot I''(t) \cdot I'''(t)$$

- Total probability decomposition of repeated signal

$$P(MPS_s) = P(MPS_s^1 | S) \cdot P(S) + P(MPS_s^0 | \bar{S}) \cdot P(\bar{S})$$



# GO-FLOW Solver for Efficient Mission Reliability Analysis

## ■ Analysis Results

The comparative analysis results [5] show that both the SDP (Sum of Disjoint Products)-based fault tree analysis, BDD, and the optimized GO-FLOW algorithm can provide exact solutions to the phased mission systems. The number of the minimal path sets to be processed by GO-FLOW is significantly less than the minimal cut sets in the fault tree analysis. The calculation efficiency is much more improved by the optimized GO-FLOW solver.

Method	System Failure Probability
GO-FLOW package	$5.22039 * 10^{-4}$
GFA	$5.220387349066 * 10^{-4}$
BDD	$5.220387349066 * 10^{-4}$
SDPP	$5.22038735 * 10^{-4}$

Method	MCS/MPS	Number
BDD	Disjoint minimal cut sets	145
	Minimal cut sets	59
GO-FLOW	Minimal path sets	80 ( <b>8</b> )

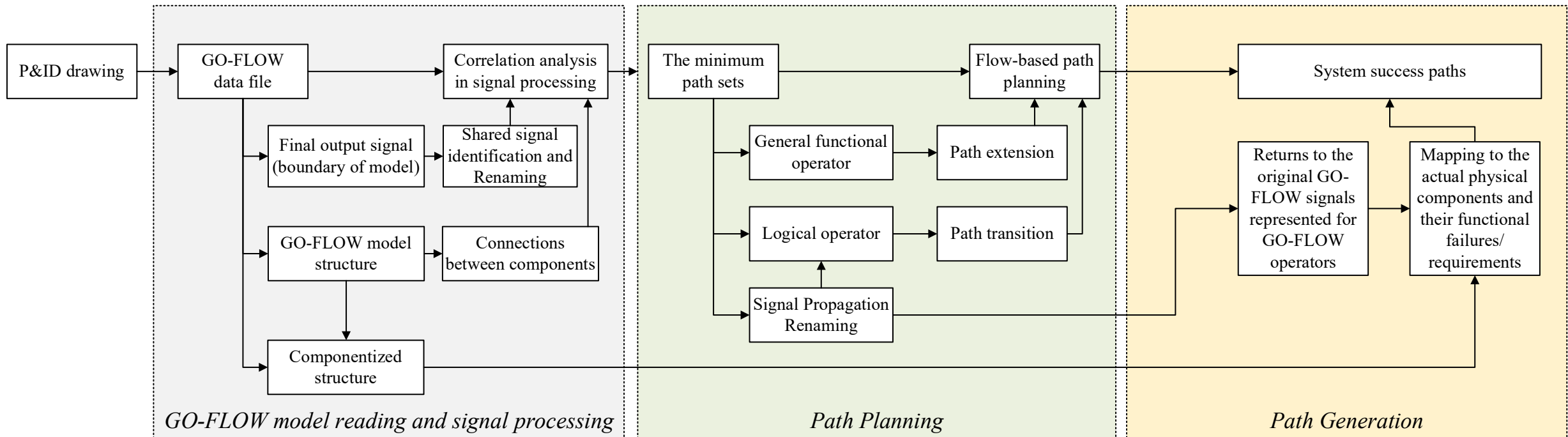


# Part III: Task and Success Path Planning for Emergency Response Management

## ☑ III-1: A Flow-directed MPSs Method for Success Path Planning<sup>[6]</sup>

The success path tracing and planning algorithm is implemented based on the inputs of complete set of minimal path sets that are obtained using graph traversal analysis on the GO-FLOW chart.

The success paths converted from minimal path sets can provide **procedural guidance from the perspective of function realization and goal achievement in a high level of abstraction**. The needs of step-by-step guide in temporal sequence are also considered with the sequential flow of signals, process simulation as well as practical engineering experiences in our ongoing studies.



# Part III: Task and Success Path Planning for Emergency Response Management

## The 1st Success Path Directed MPS Method for Success Path Planning

**Success Path-1:** MPS {1, 6, 7, 8, 9, 10, 21}

The success path planning is implemented based on interpretation of minimal path sets, which are obtained from graph-based search analysis along with renaming of ideal signals of GO-FLOW operators.

**Success Path-2:** MPS {1, 6, 7, 11, 12, 13, 21}

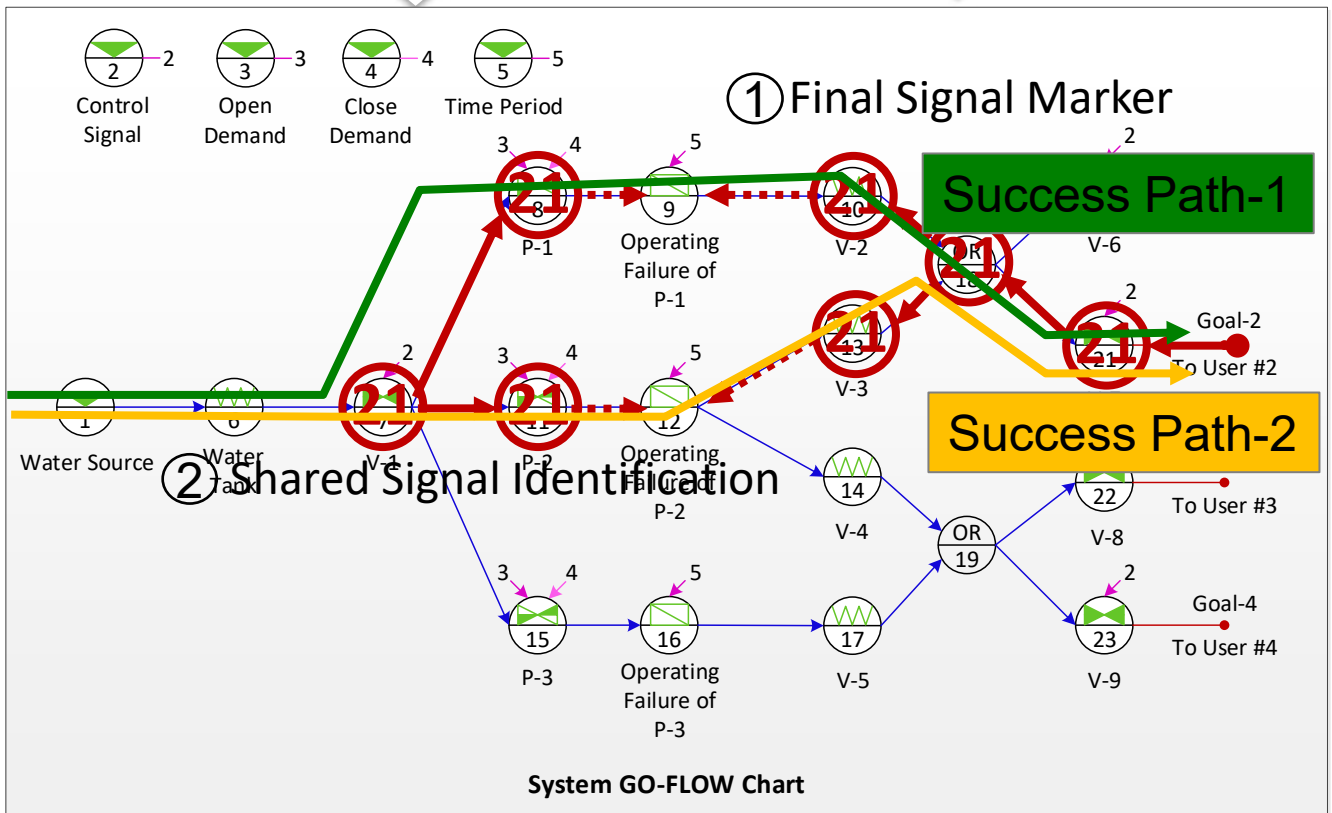
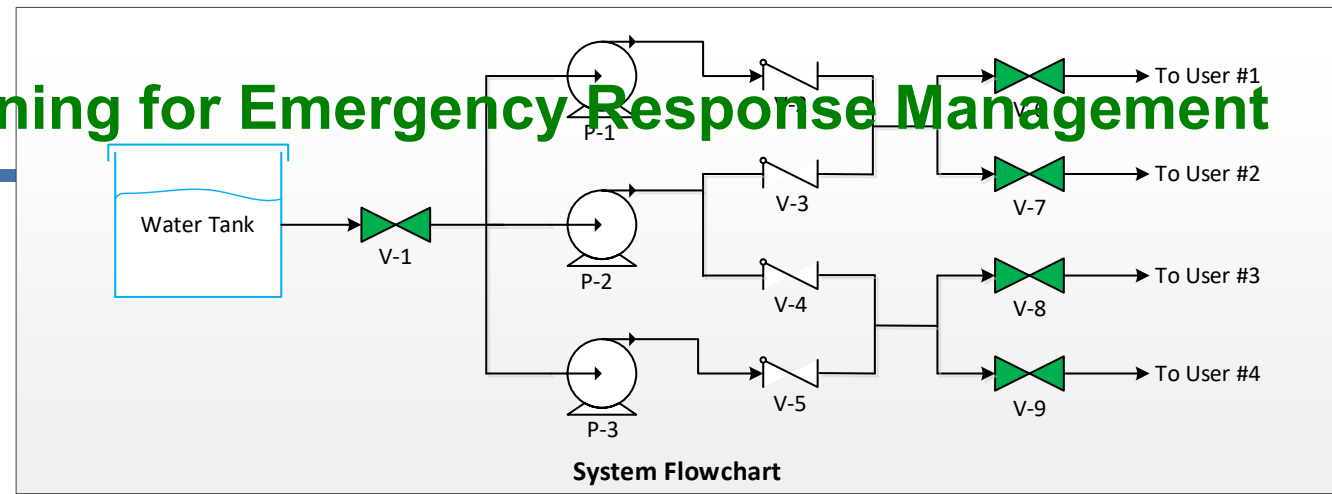
**Case Example: PWR-AFWs**

$P(\text{Success Path-1}) = 0.9983400$

{Water tank with water, Open Valve V-1, Open Pump P-1, Keep P-1 operation for 2 hours, Open Valve V-2, Open Valve V-7}

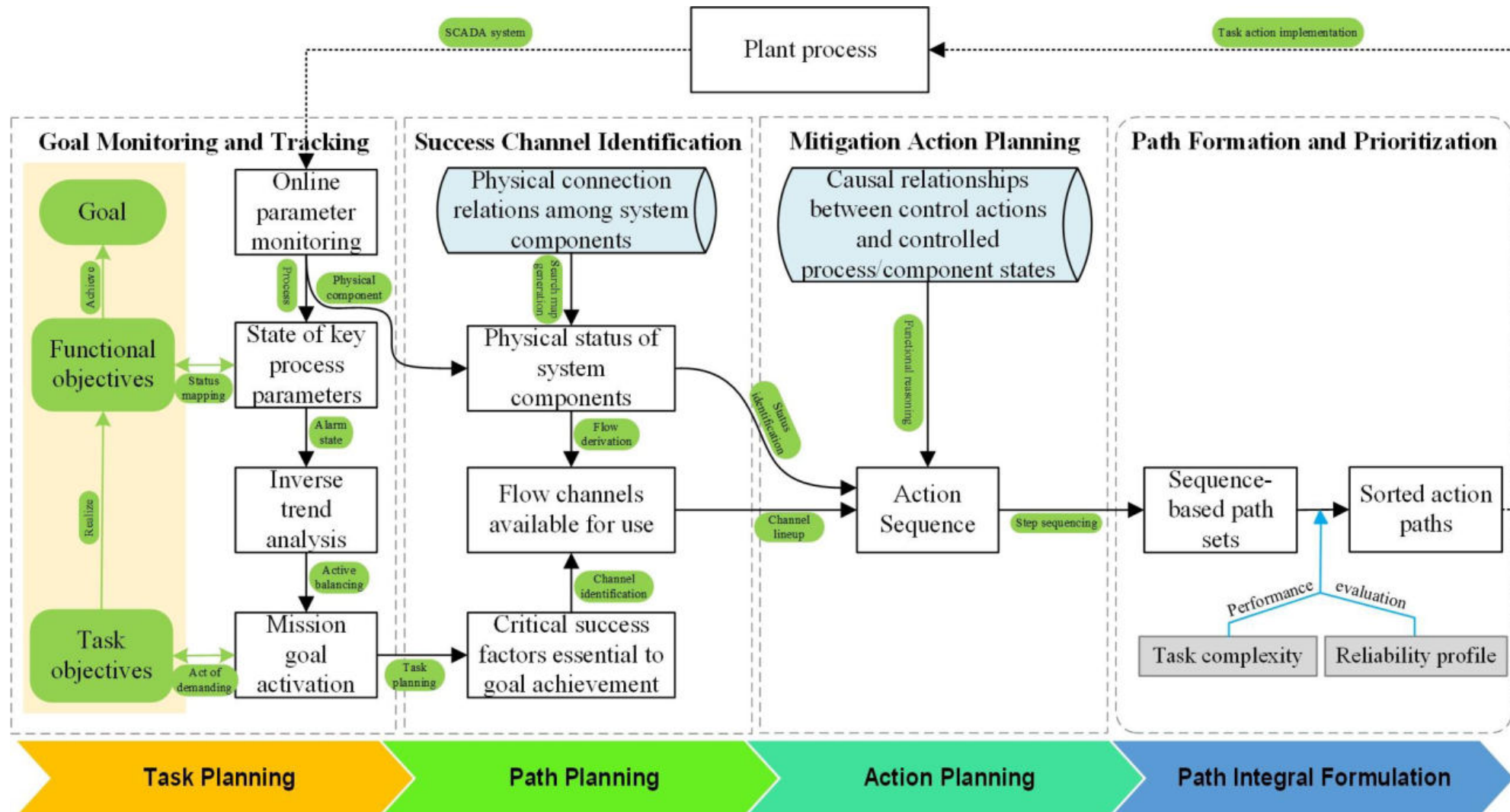
$P(\text{Success Path-2}) = 0.9684903$

{Water tank with water, Open Valve V-1, Open Pump P-2, Keep P-2 operation for 2 hours, Open Valve V-3, Open Valve V-7}



# Part III: Task and Success Path Planning for Emergency Response Management

## III-2: Graph-based Emergency Countermeasure Planning<sup>[7]</sup>



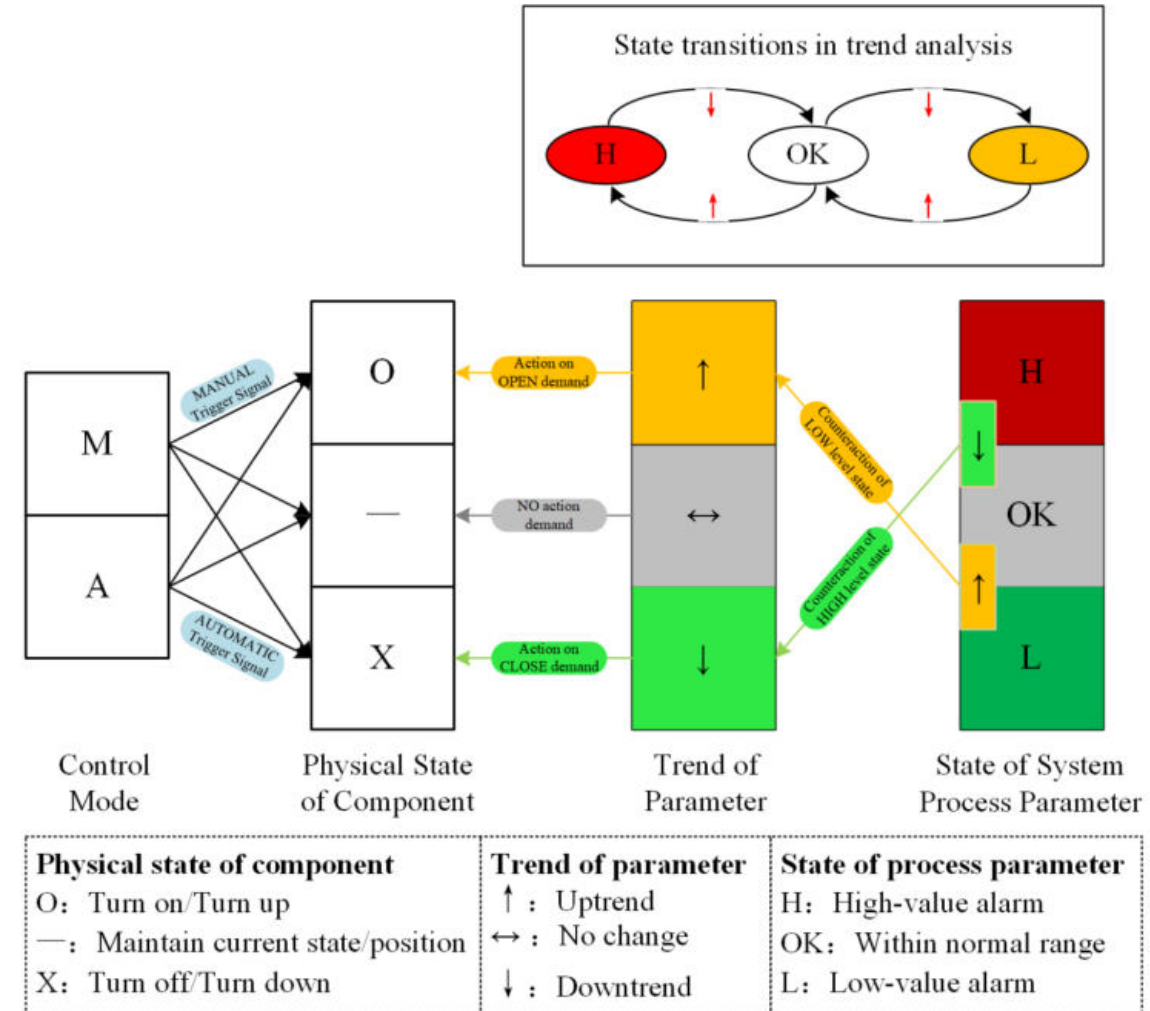
# Part III: Success Path Planning for Emergency Response Management

## III-2: Graph-based Emergency Countermeasure Planning<sup>[7]</sup>

The emergency countermeasure planning method is implemented with goal- and function-oriented action formulization. The mission goal is determined based on system functional objectives and online monitoring of key process parameters (SPDS). The emergency action planning is carried out by **deductive reasoning with anti-degradation goals and objectives in a reversed logical value setting.**

Category	Component	Diagram	Functions of Components	Physical State of Components	Causal Relations between Process Variables	Control Mode	Causality in Actions
Tanks/Containers	Water Tank		Source Function: N/A/O; Satisfy: $P_{in} - d/dt$	Physical states: good/broken; Parameters: Water level; Function states: H-OK-L		Non-action Component	
			Storage Function: M/B/S; Satisfy: $\Sigma F_{in} - \Sigma F_{out} - d/dt$	Physical states: good/broken; Parameters: Water level; Function states: H-OK-L		Non-action Component	
Pumps	Centrifugal Pump		Transport Function: S/S/O; Satisfy: $F_{in} - F_{out}$	Physical states: Open/Close; Parameters: Flowrate; Function states: H-OK-L		Manual/Automatic	Action Flowrate
	Cut-off Valve		Transport Function: S/S/O; Satisfy: $F_{in} - F_{out}$	Physical states: Full/Open/Idle; Parameters: Flowrate; Function states: H-OK-L		Manual/Automatic	Action Flowrate
Valves	Regulating Valve		Transport/Regulating Function: S/S/O; Satisfy: $F_{in} - F_{out}$	Physical states: Continuous; Parameters: Flowrate; Function states: H-OK-L		Manual/Automatic	Action Flowrate
	Three-way Valve		Transport/Gradient Function: S/S/O; Satisfy: $F_{in}, F_{out}, F_{out}$	Physical states: Open as Upper/Lower Valve, Close; Parameters: Flowrate; Function states: H-OK-L		Manual/Automatic	Action Flowrate
	Check Valve		Transport Function: S/S/O; Satisfy: $F_{in} - F_{out}$	Physical states: On; Parameters: Flowrate; Function states: H-OK-L		Non-action Component	
			Barrier Function: S/S/O; Satisfy: $F_{in} = 0$	Physical states: Blockage; Parameters: Temperature; Function states: H-OK-L		Non-action Component	
Heat Exchanger	Heat Exchanger		Transport Function: T/T/D; Satisfy: $T_{out} - T_{in}, T_{out} - T_{in}$	Physical states: Good/Broken; Parameters: Temperature; Function states: H-OK-L		Non-action Component	

Knowledge Representation



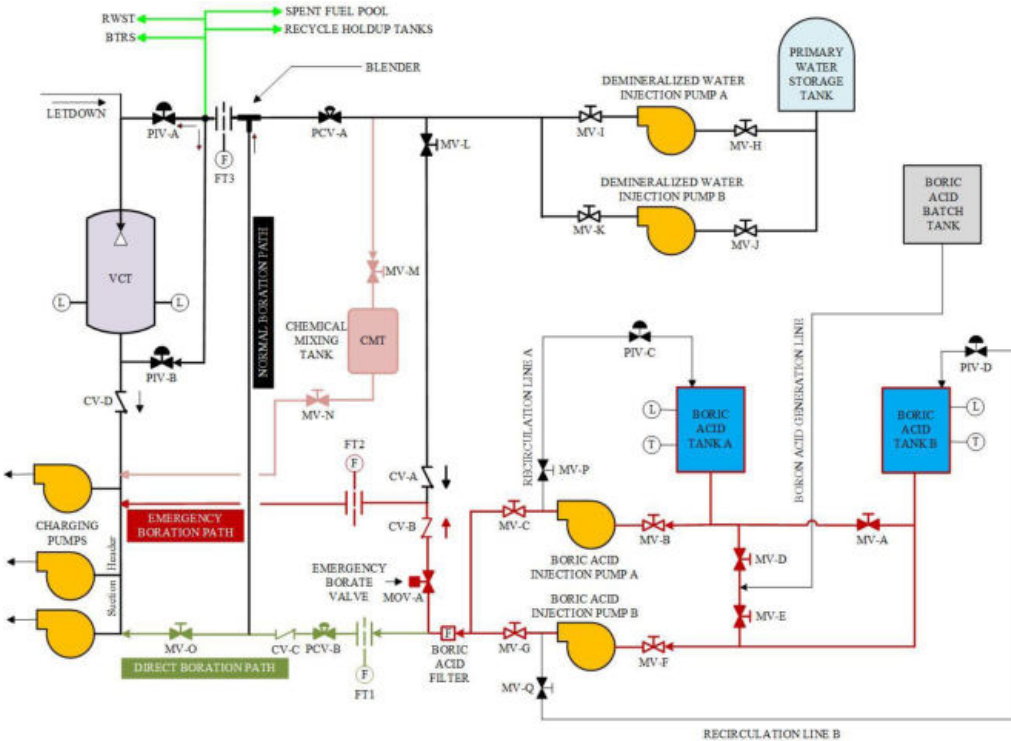
Backward Causation

# Part III: Task and Success Path Planning for Emergency Response Management

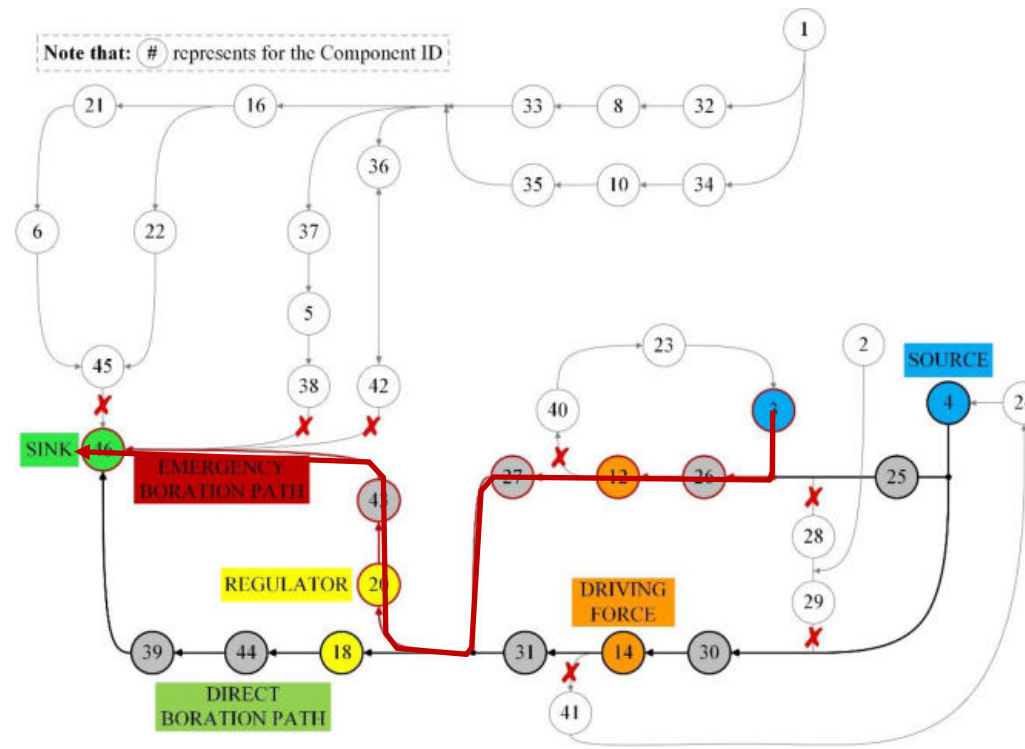
## III-2: Graph-based Emergency Countermeasure Planning<sup>[7]</sup>

### Case Study: Manual Makeup under inadvertent boron dilution accident

For the specific mission objective derived from anomaly detection in process monitoring and controlling, the critical success factors essential to goal achievement are formulated in a form of functional organization of **{SOURCE + DRIVING FORCE + REGULATOR + SINK}**.



Reactor boron and water makeup system



Paths towards emergency boration recovery

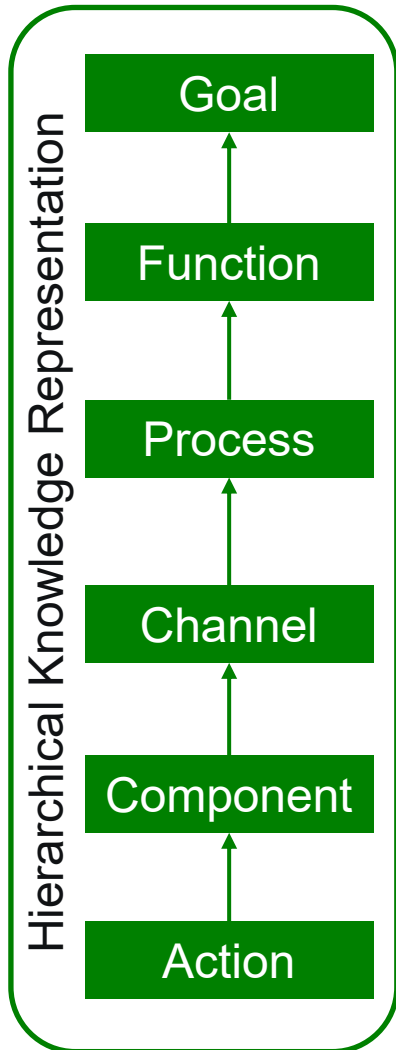
### Action Sequence

- SelectMode@MANUAL
- Start@MANUAL
- SufficientContentsSupply@BAT-A
- Set@Flowrate
- MV-B@Open
- MV-C@Open
- Open@BAIP-A
- Open@MOV-A
- CV-B@ON
- ChargingLine@ON
- Stop@MANUAL

# Part III: Task and Success Path Planning for Emergency Response Management

## III-3: MFM-based Emergency Countermeasure Planning

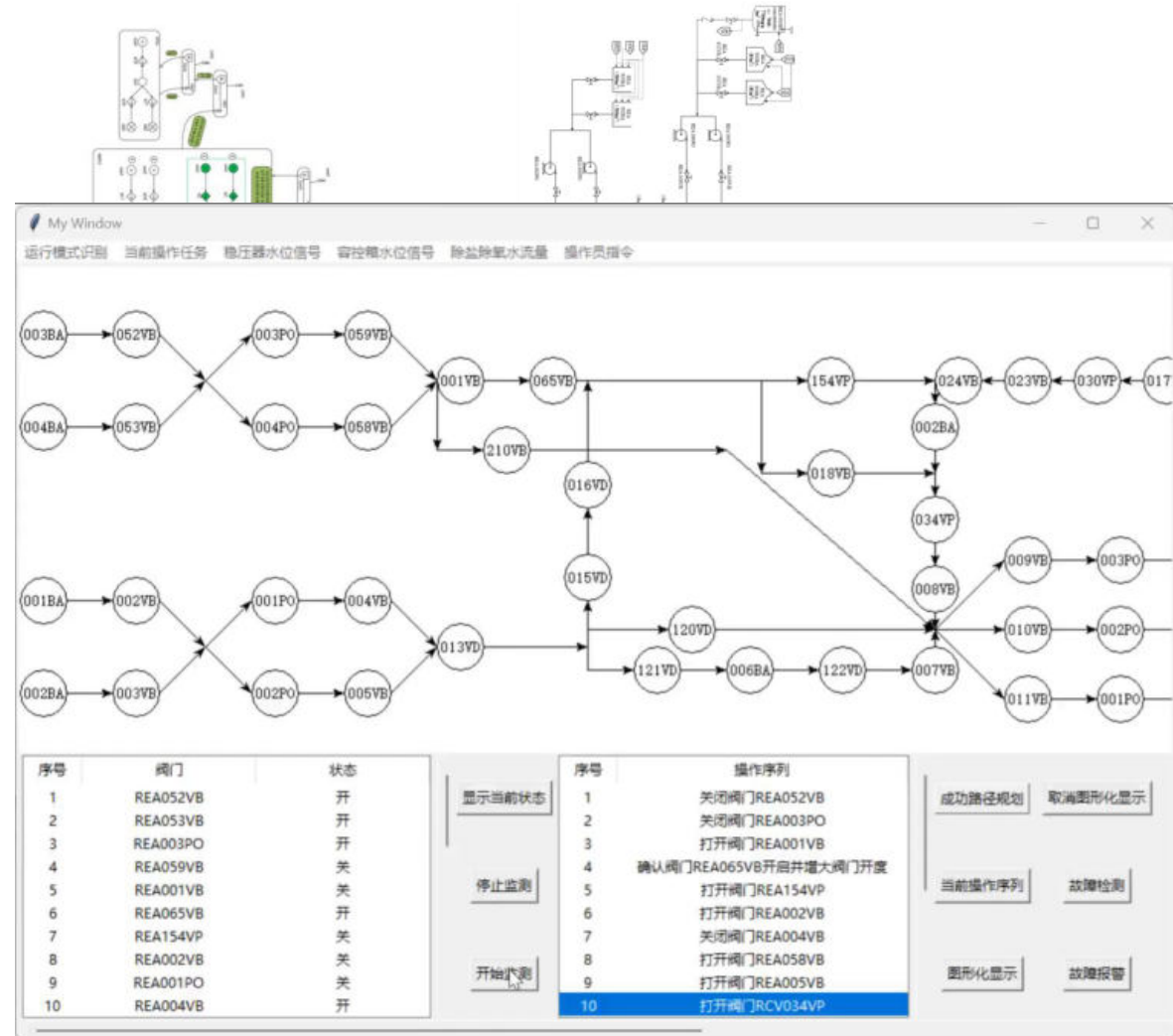
### Emergency Countermeasure Planning based on MFM Process-oriented Reasoning



**Functional countermeasures and mitigation paths** can be initiated through deductive reasoning of process parameters.

The routine function-behavior-structure MFM models are augmented with the control functions **to explicitly describe the involved manual control and operation** in a given task sequence.

**Operational hazard analysis** can also be carried out to proactively evaluate the hazard impact of anticipated operator actions onto plant safety based on MFM functional reasoning.



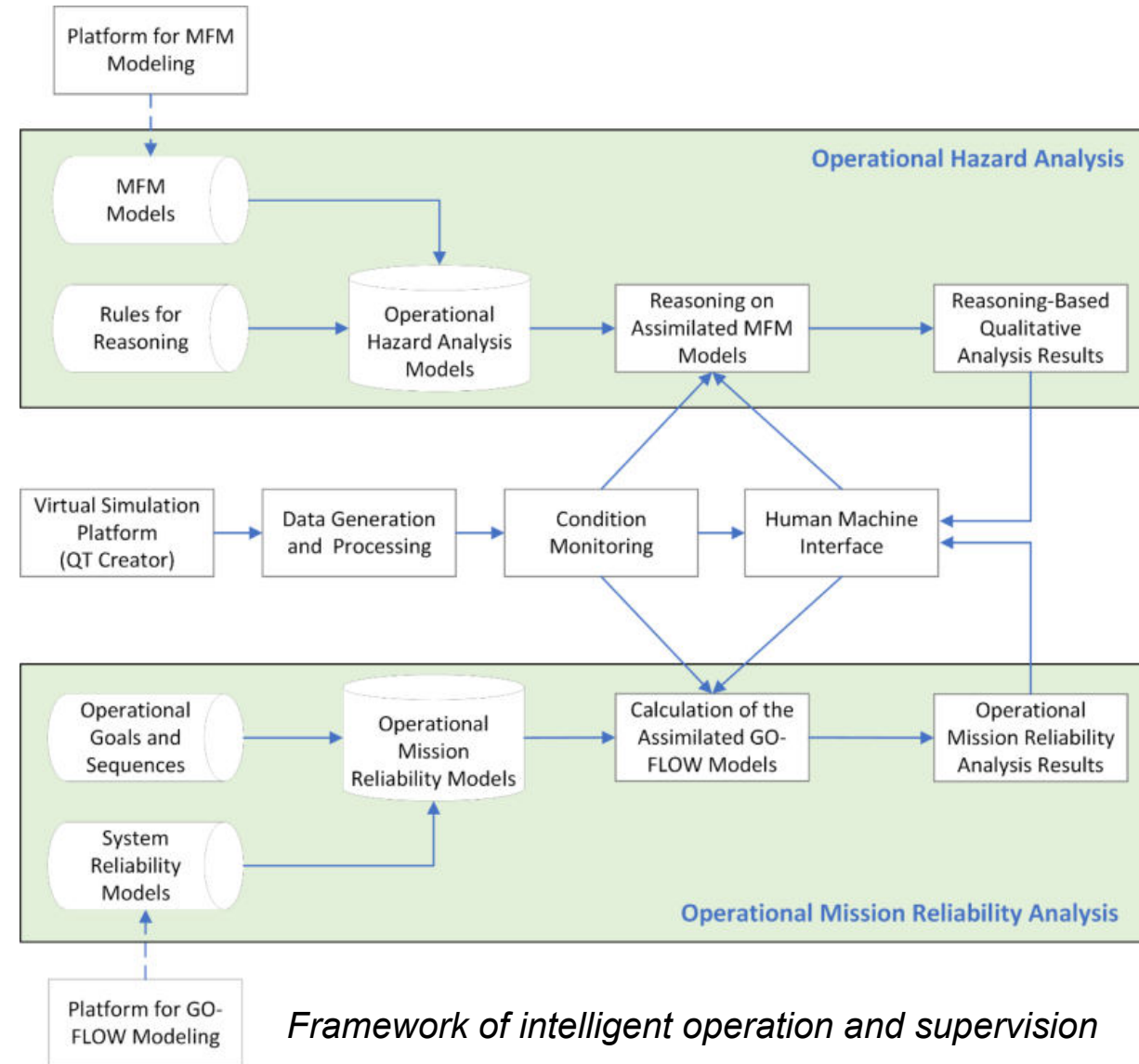
# Part III: Task and Success Path Planning for Emergency Response Management

## ➤ Integrated Decision-Making Support System<sup>[8]</sup>

The integrated decision-making support system is designed to assist operators in detecting, validating, identifying, diagnosing, assessing, monitoring, recovering from unsafe human actions in human-machine interactions in NPPs.

### ■ OBJECTIVES

- ❑ Uncovering the root causes and casual chains of problem
- ✅ Emergency response planning
- ✅ Operation navigation and supervision for task implementation
- ❑ Instantaneous hazard and reliability prediction
- ✅ Identification of underlying human error modes
- ✅ Proactive validation of operability of operators manual actions with necessary safety alerts
- ❑ Decision-making to redirect, recovery, and mitigate unsafe conditions

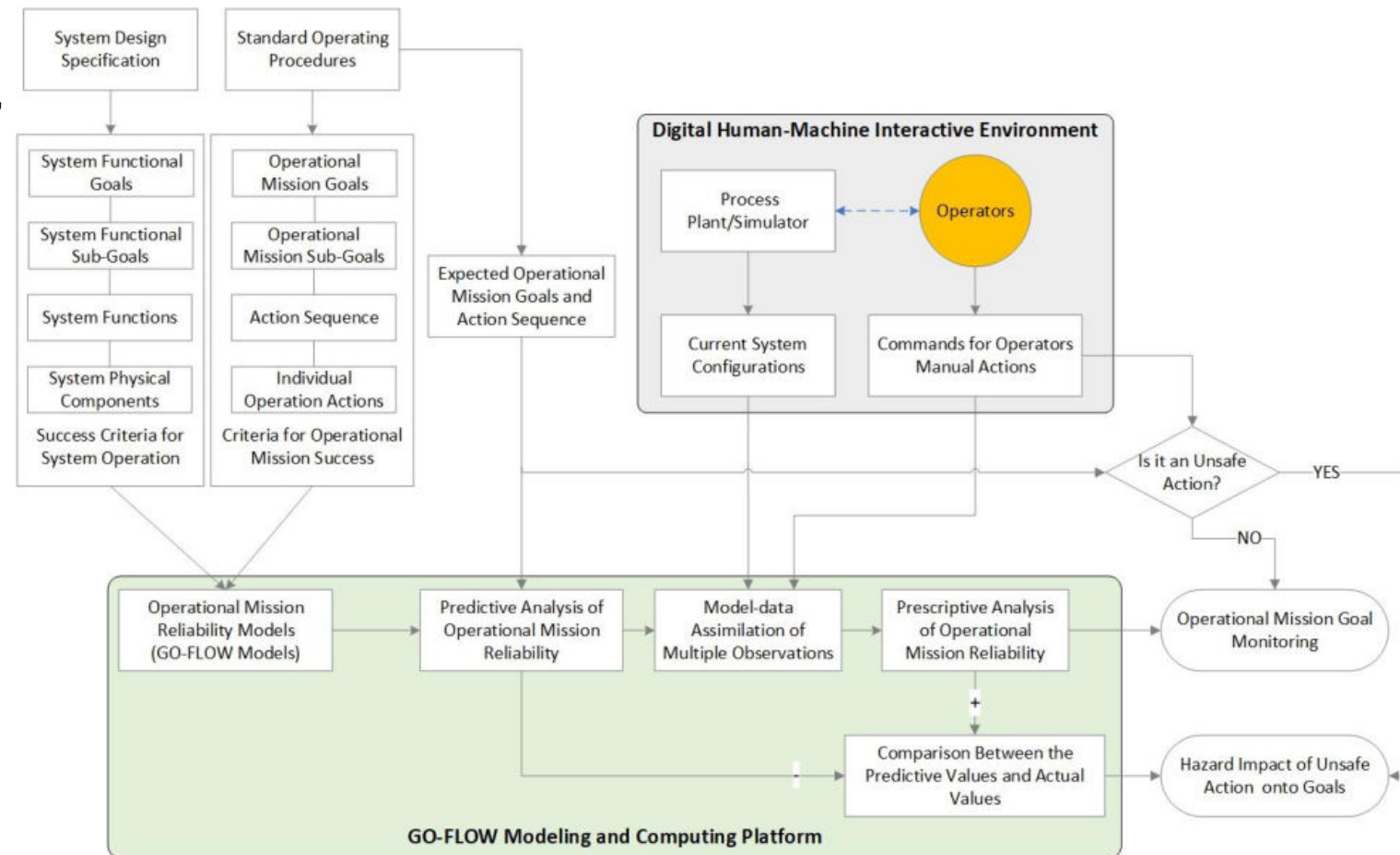


*Framework of intelligent operation and supervision*

# Part III: Task and Success Path Planning for Emergency Response Management

## ☑ Operation Navigation and Supervision<sup>[8]</sup>

- The operation navigation and supervision involves **unsafe action identification**, **operational mission reliability analysis**, and **tread impact analysis** for task performance assessment.
- Pattern matching based supervision process is incorporated to intercept **unsafe actions** during task scheduling and implementation.
- The **operational mission reliability analysis** aims to quantitatively evaluate and map the operability of operators manual actions onto what extent the achievement of goals and objectives at all levels can be obtained for a given time period from a safety standpoint.
- The **tread impact analysis** is implemented based on synchronous prediction and supervision with mission reliability profile for hazard impact mapping.



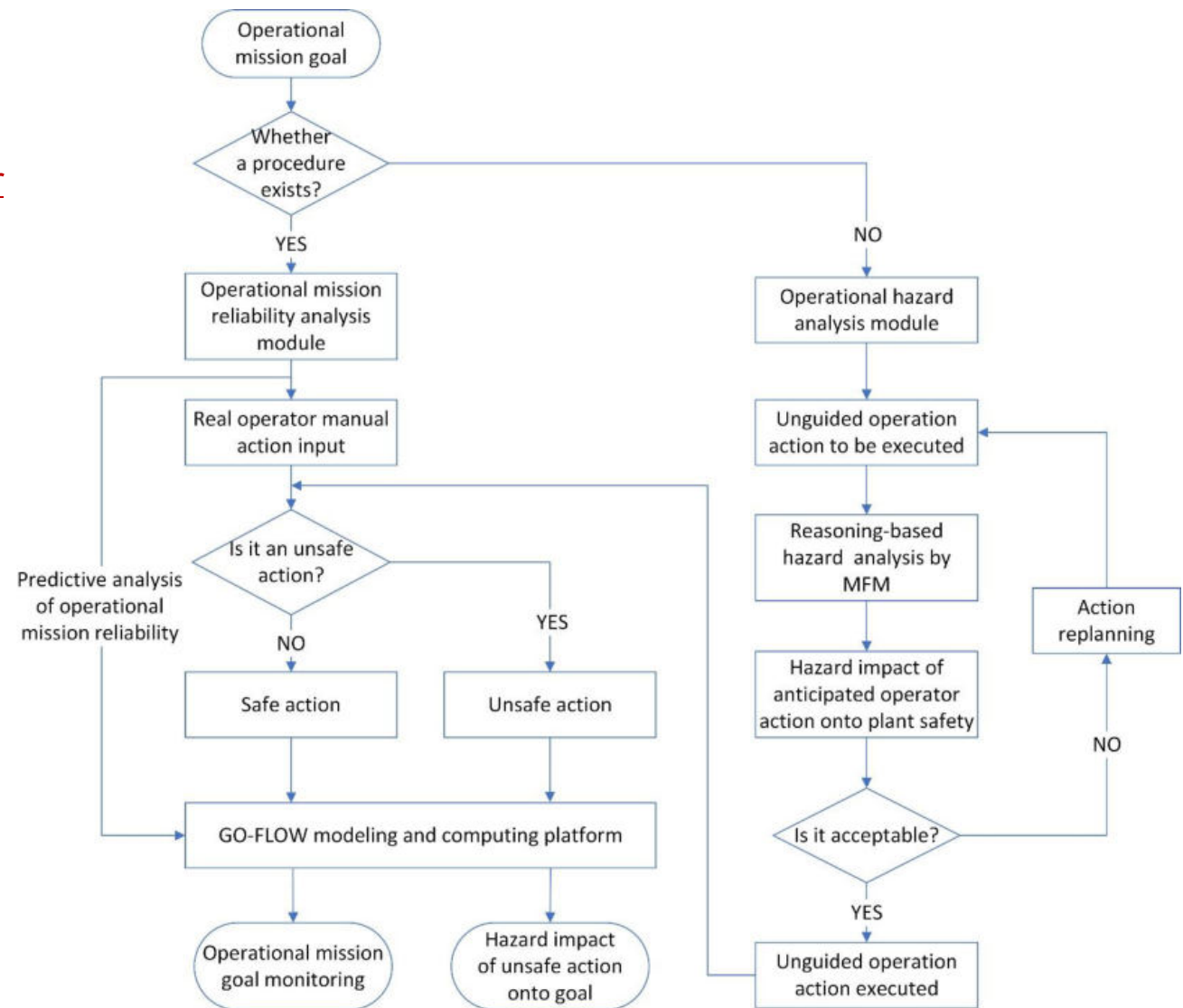
GO-FLOW modeling and analysis process for operational mission reliability analysis



# Part III: Task and Success Path Planning for Emergency Response Management

## □ Identification of Unsafe Action

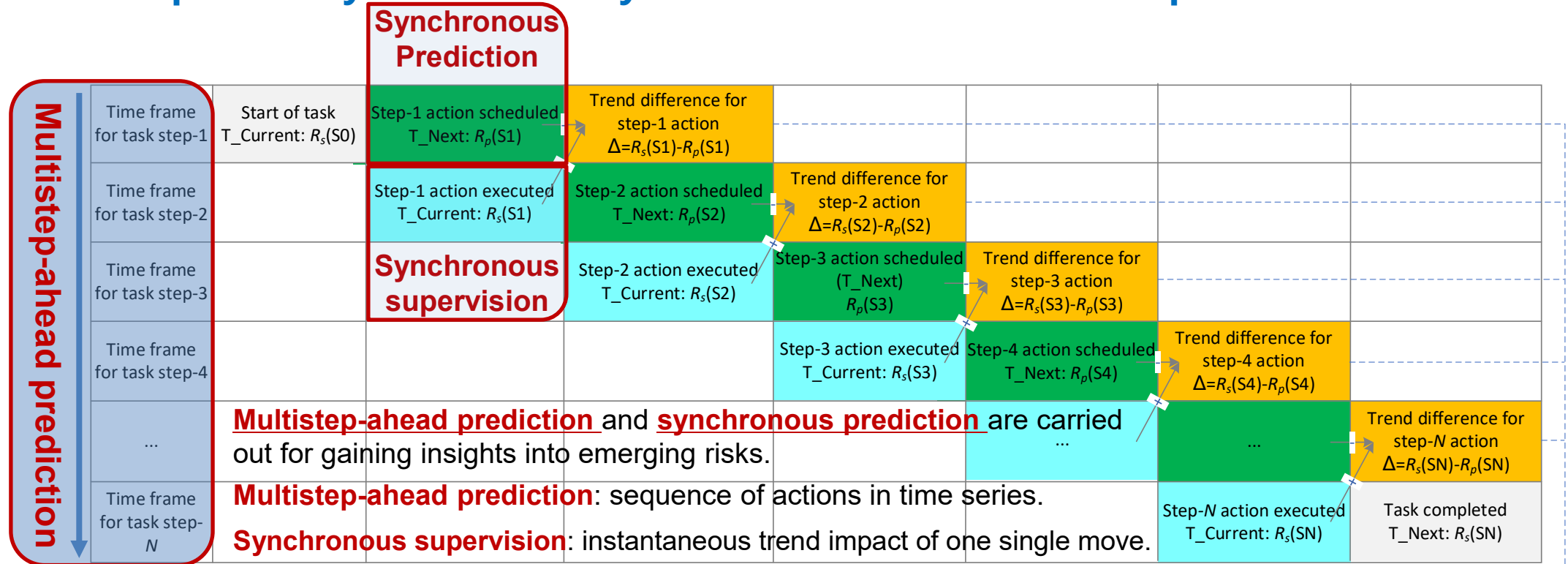
- The pattern matching-based supervision process starts with the operational mission goal, enters system operational scenario and intercepts operator actions during task scheduling.
- The actual operators manual actions executed in task implementation process are inputted into the procedural knowledge base for pattern recognition.
- The operators manual actions are inspected in accordance with the procedural knowledge base having due regard to ensuring the recognition of actions.
- **Without Procedure:** operational hazard analysis is carried out to proactively evaluate the hazard impact of anticipated operator actions onto plant safety based on functional reasoning.
- **With Procedure:** operational mission reliability analysis is performed to quantitatively evaluate the extent of impact of executed action on mission goal achievement by GO-FLOW.



*Pattern-matching algorithm for operation action mode identification*

# Part III: Task and Success Path Planning for Emergency Response Management

## □ Trend Impact Analysis based on Synchronous Prediction and Supervision



The impact trend is reflected by the difference between synchronous prediction and supervision, by which the underlying problems related to system abnormal operation or potential human errors can be mapped out. The size of difference in part reflects the criticality importance of a single step to the overall task success by including the human impact and contribution to overall system performance.

Input to Trend Impact Analysis		
Cases	Difference between synchronous supervision and prediction ( $\Delta$ )	Impact trend
i	$\Delta=0$	No effect for now
ii	$\Delta>0$	Positive effects
iii	$\Delta<0$	Negative effects

# Part III: Task and Success Path Planning for Emergency Response Management

## Reliability Profiler for Goal Monitoring

**Trend impact precursor:** provide a direct presentation of the results of the synchronous prediction and supervision analyses by intuitive.

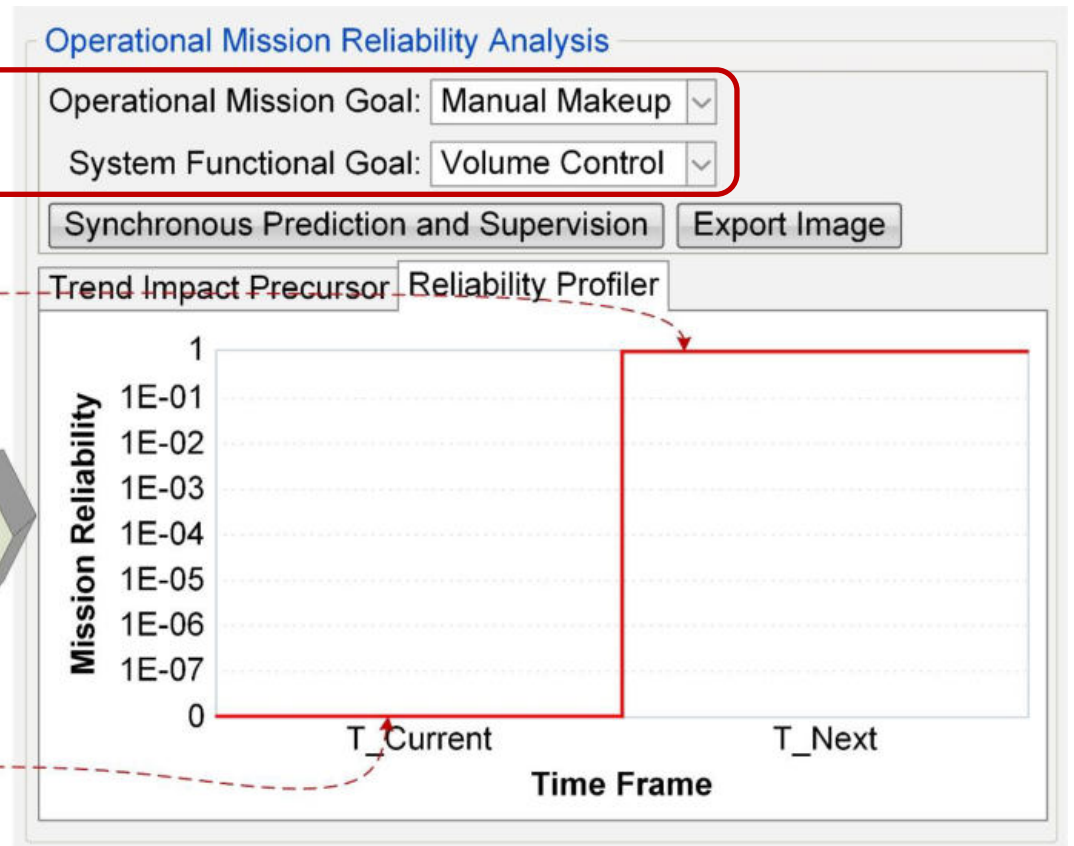
**Reliability profiler:** a mission profile-based visualization of time-oriented data obtained in each task step.

Safety Alert



Support for multi-goal monitoring

Action Step	Synchronous Prediction	Synchronous Supervision	Trend Difference	Safety Alerts
S1	0	0	0	No Effect for Now
S2	0	0	0	No Effect for Now
S3	0	0	0	No Effect for Now
S4	0.99752948	0		



# Part III: Task and Success Path Planning for Emergency Response Management

## ☑ Operation Navigation and Supervision<sup>[10]</sup>

An integrated decision-making support system is developed with the integration of following capabilities.

- Unsafe Action Identification (Pattern-matching)
- Procedure-based Navigation and Supervision
- Non-procedural Path Guiding
- Operational Mission Reliability Monitoring
- Trend Impact Prediction
- ☒ **Operational Hazard Analysis (Based on inductive reasoning analysis)**

The screenshot displays the 'Intelligent Operational Supervision System' interface, which is divided into several functional panels:

- Operation Navigation:** Includes dropdowns for 'Operating Mode' (Normal Operator), 'Operational Mission Goal' (Manual Makeup), and 'System Functional Goal' (Volume Control). It features a 'Task Sequence' tree with tasks like 'Open Valve REA001PIV' and 'Switch to Manual Control Mode'. A 'Free Action Planning' field is also present.
- Operation Supervision:** Contains a table with columns for 'SN', 'Actual Played Action Sequence', and 'Human Action Mode'. The table lists 14 actions, with the first 8 marked as 'Early Operation' (red background) and the remaining 6 as 'Correct Operation'.
- Operational Hazard Analysis:** Shows 'Action Planning' (Open Valve @ REA001PIV @ REA System) and 'Goal Connector' (Monitoring Input of Goal Indicator). It includes 'Execution Analysis' and 'Results Display' tabs.
- Operational Mission Reliability Analysis:** Displays 'Operational Mission Goal' (Manual Makeup) and 'System Functional Goal' (Volume Control). It features a 'Synchronous Prediction and Supervision' section with an 'Export Image' button.
- Trend Impact Precursor and Reliability Profiler:** A table showing 'Action Step', 'Synchronous Prediction', 'Synchronous Supervision', 'Trend Difference', and 'Safety Alerts' for steps S1 through S7.

SN	Actual Played Action Sequence	Human Action Mode
1	Switch to Manual Control Mode@REA002PO	Early Operation
2	Switch to Manual Control Mode@REA004PO	Early Operation
3	Open Pump@REA002PO	Early Operation
4	Open Pump@REA004PO	Early Operation
5	Open Valve@REA001PCV	Early Operation
6	Open Valve@REA002PCV	Early Operation
7	Open Valve@REA002MOV	Early Operation
8	Open Valve@REA001PIV	Late Operation
9	Close Pump@REA002PO	Correct Operation
10	Close Pump@REA004PO	Correct Operation
11	Close Valve@REA001PCV	Correct Operation
12	Close Valve@REA002PCV	Correct Operation
13	Close Valve@REA002MOV	Correct Operation
14	Close Valve@REA001PIV	Correct Operation

Goals	Current Status	Predictive Status	The Change of Trend	Safety Alerts
G1	Normal	Normal	—	No Effect for Now
G2	Low	High	↑	Positive Effects
G3	Normal	Normal	—	No Effect for Now
G4	Low	High	↑	Positive Effects
...	...	...	...	...
...	...	...	...	...

Action Step	Synchronous Prediction	Synchronous Supervision	Trend Difference	Safety Alerts
S1	0	0	0	No Effect for Now
S2	0	0	0	No Effect for Now
S3	0	0	0	No Effect for Now
S4	0.99752948	0.99999900	+	Positive Effects
S5	0.99879972	0.99879972	0	No Effect for Now
S6	1-1.11022E-16	1	+	Positive Effects
S7	1	1	0	No Effect for Now

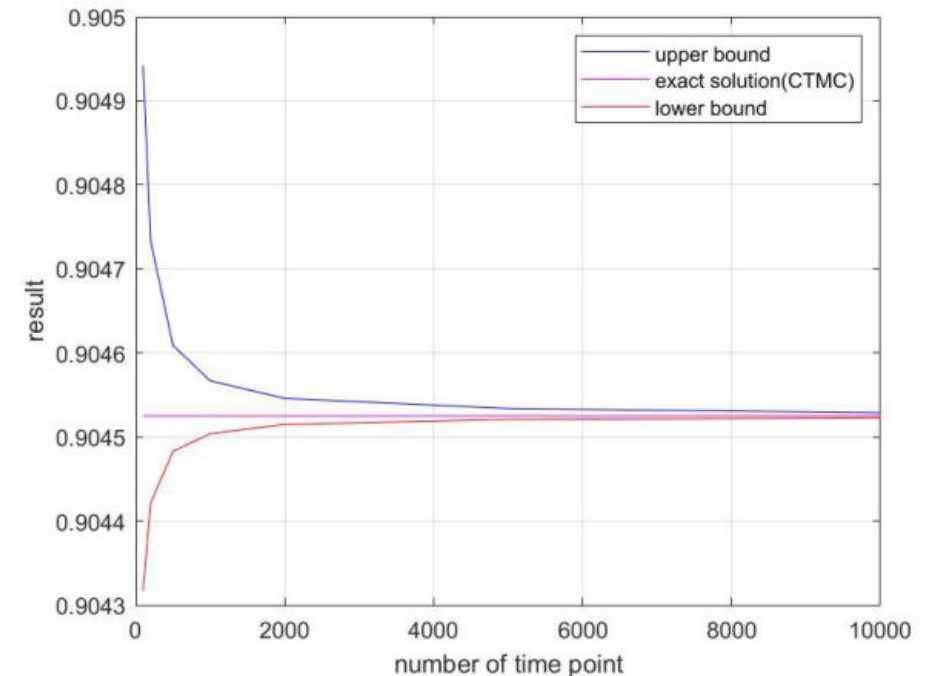
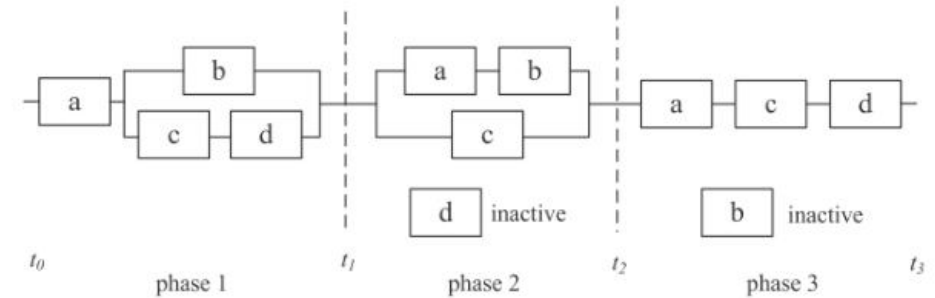
# FUTURE WORKS

## □ Expansion and Optimization of GO-FLOW Platform

### (1) Reliability analysis of repairable PMS system

Essential problems to be solved:

- ① Exact solution of availability of repairable PMS system (Continuous-Time Markov Chain, CTMC)
- ② Balance efficiency, accuracy, and flexibility in system reliability/availability calculation. (GO-FLOW/Markov chain with flexible time point interpolation)
- ③ Obtain margin of error, confidence interval, confidence level.



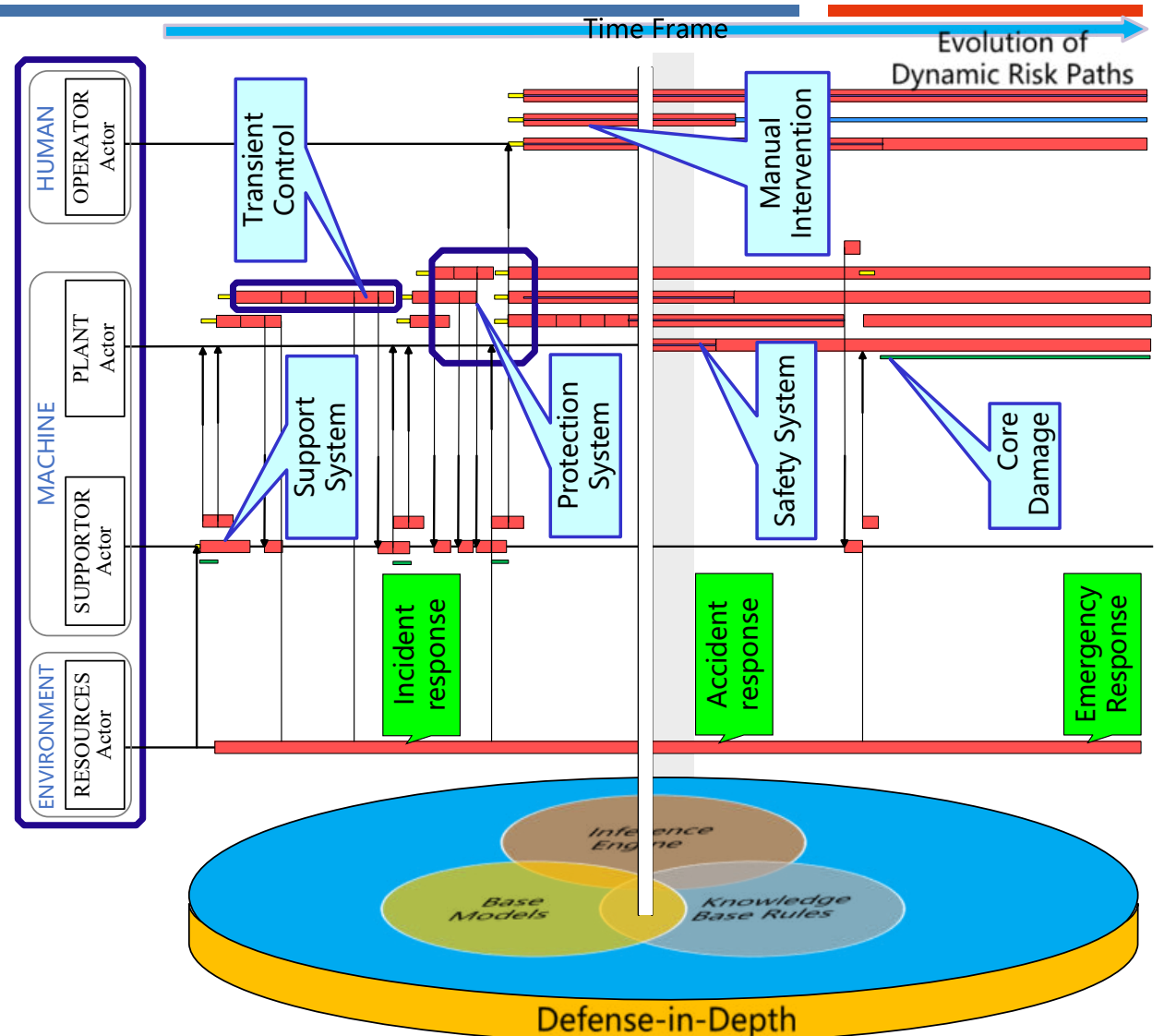
# FUTURE WORKS

## Defense-in-Depth Nuclear Safety and Emergency Risk Management Platform

It is committed to providing a multi-layered integration framework to preventing accidents from happening and to mitigate their consequences from the Layer 1 of PREVENTION to Layer 5 of Emergency Response. The following functional modules will be focused in our future studies:

- Supervisory control of critical safety functions based on the Three C's principles (CONTROL-COOL-CONTAIN).
- Emergency Countermeasure Planning for Functional Recovery and Hazard Mitigation
- Optimal Allocation of Emergency Resources

<https://medium.com/@6unpnp/defence-in-depth-an-intro-fe6e1b86f5db>



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**Thank you for your time  
and attention.**